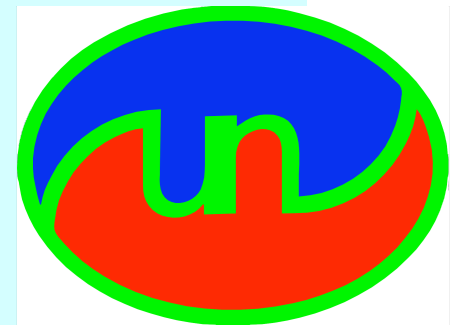


# **UNO and Very Long Baseline Neutrino Oscillation Experiment**

Chiaki Yanagisawa  
Stony Brook

Talk at 3<sup>rd</sup> BNL/UCLA Workshop  
UCLA, California  
February 28, 2005



## Detector ( Water Cherenkov)

Total mass: 650 ktons

Fiducial volume:

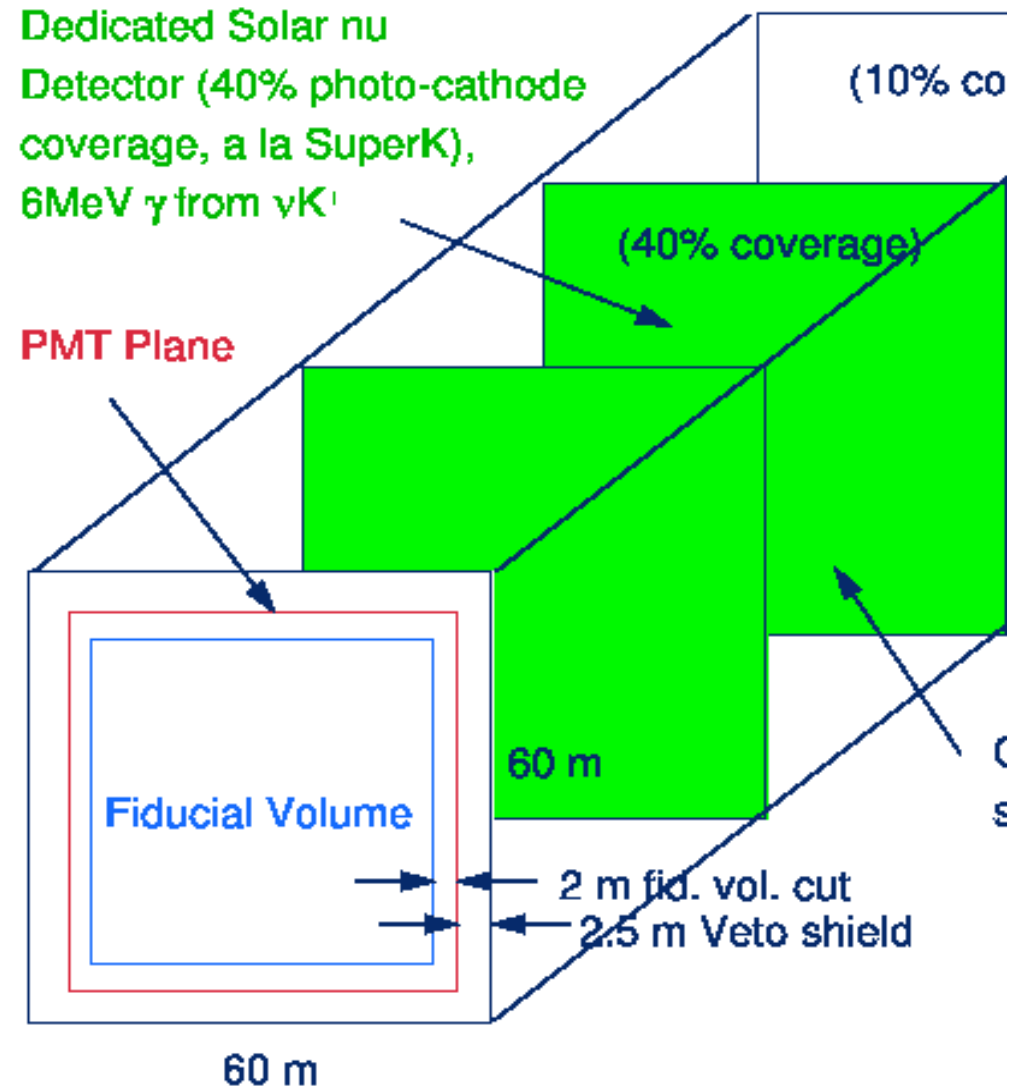
440 ktons for proton decays  
for solar  $\nu$

580 ktons for Supernova

Total size : 60x60x180 m<sup>3</sup>

Photocathode coverage:

1/3 40%, 2/3 10%



## History

- Proposed in 1999 at NNN99
- Whitepaper , July 2002 presented at Snowmass, signed by 23 institutions, 49 members: proto-collaborators (22 institutions, 32 members: interest group)
- UNO Narrative for HEPAP 2003 report
- August, 2003: Proto-collaboration to collaboration
- April 2004: The collaboration made of 40 institutions, 94 members, and 7 countries ( has grown since 2002)
- First EOI/R&D proposal 2005

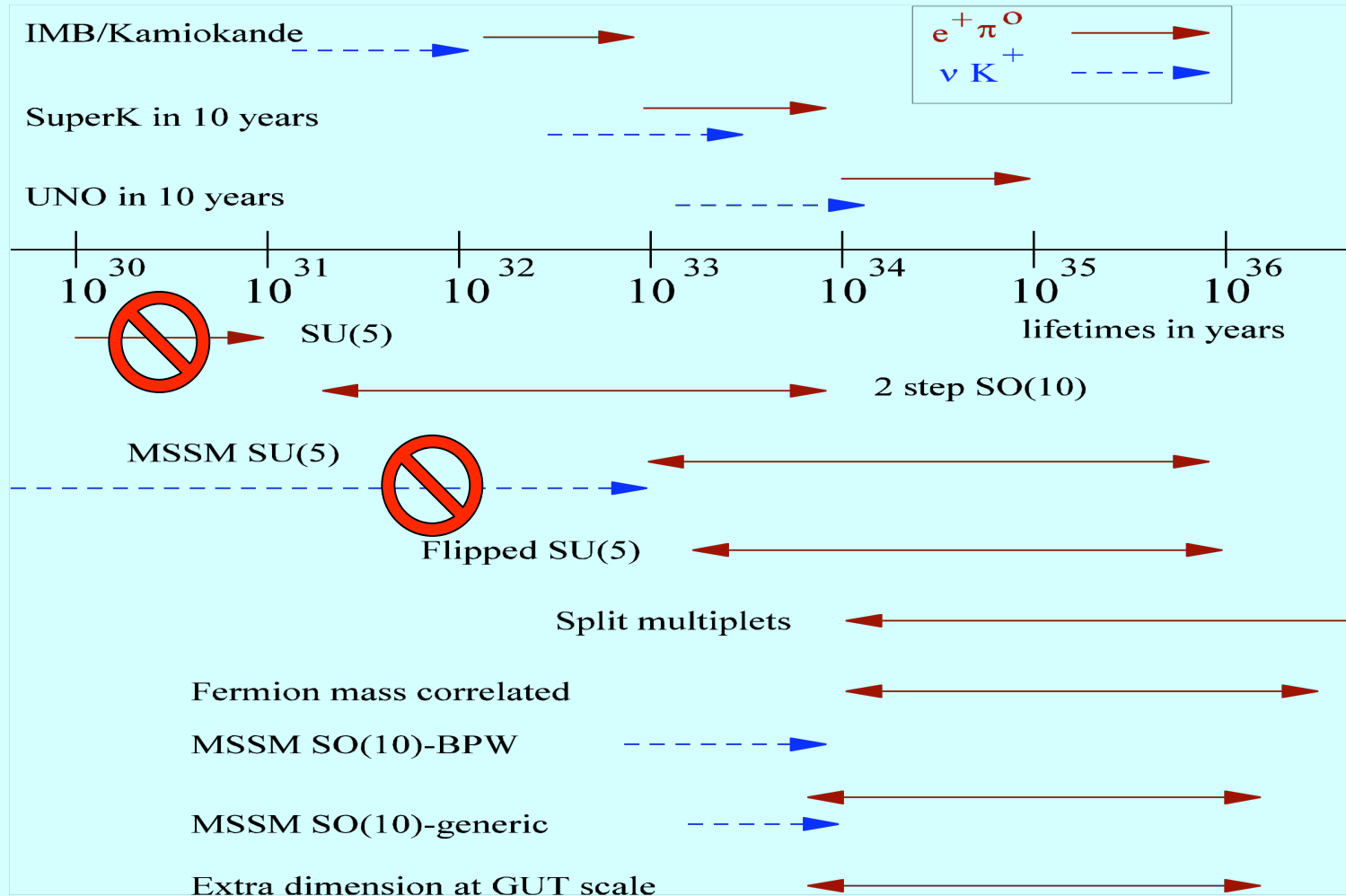
Visit UNO website at <http://nngroup.physics.sunysb.edu/uno/>

## Physics Goals

- Nucleon decays
- Atmospheric neutrinos
- Supernova neutrinos
- Solar neutrinos
- Relic supernova neutrinos
- Very long baseline neutrino oscillation
- Others

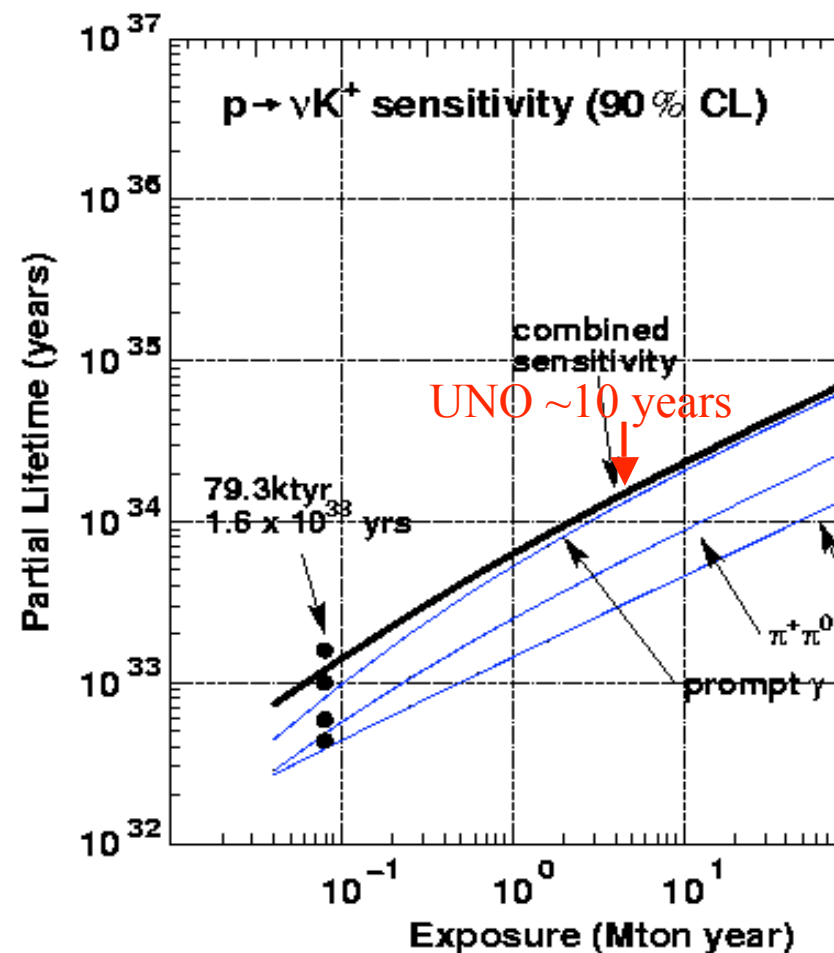
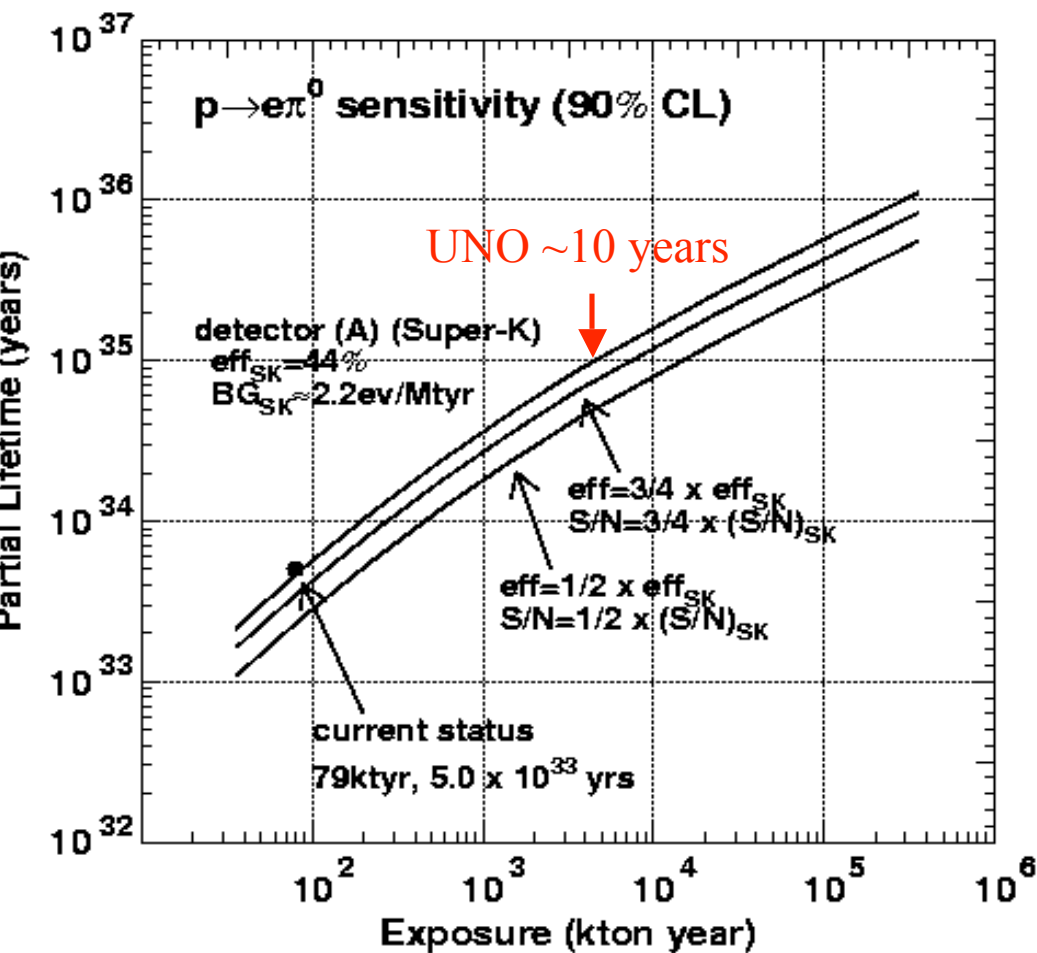
# Proton Decays

## Proton decay rate limits/predictions



# Proton Decays

## Proton decay search sensitivities with SK efficiency and background level

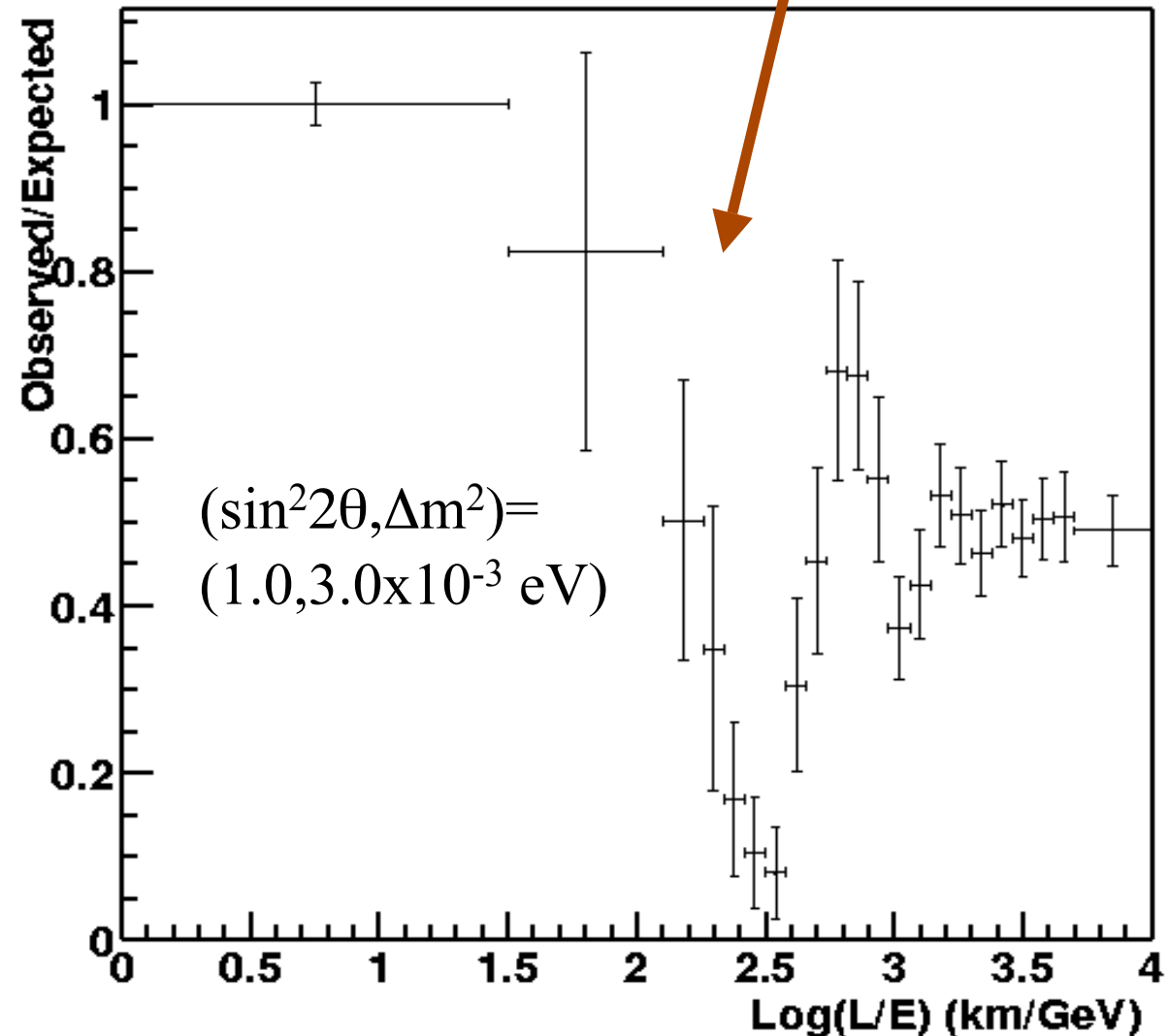


# Atmospheric Neutrinos

## L / E distribution to see oscillatory behavior

- UNO is much bigger than SK **20 x SK fiducial volume**
- UNO has much longer lever arm than SK, i.e., better efficiency to detect high energy muons than SK  
**SK up to ~7 GeV**  
**UNO up to ~36 GeV**

Ratio of oscillated to expected vs Log(L/E)

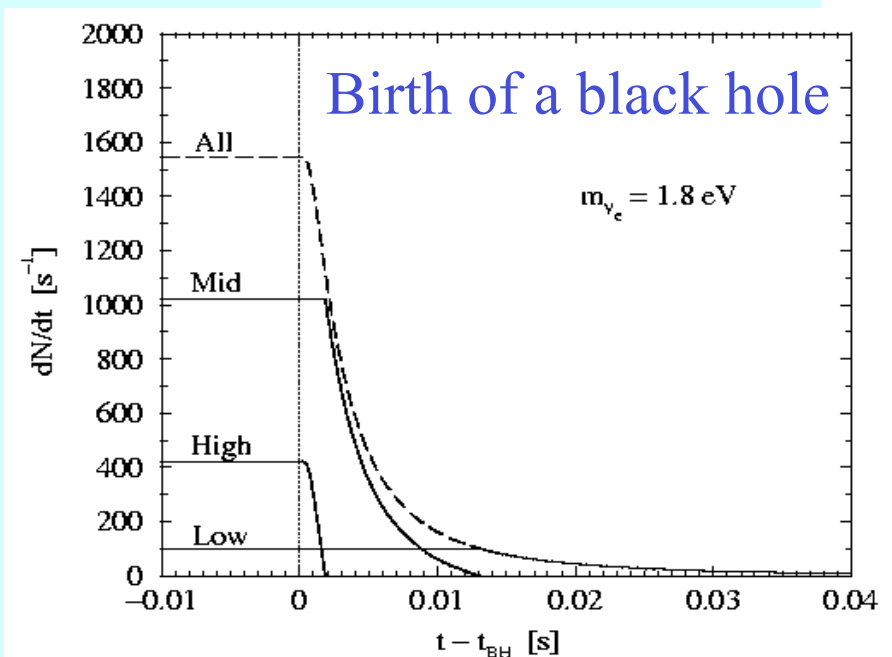
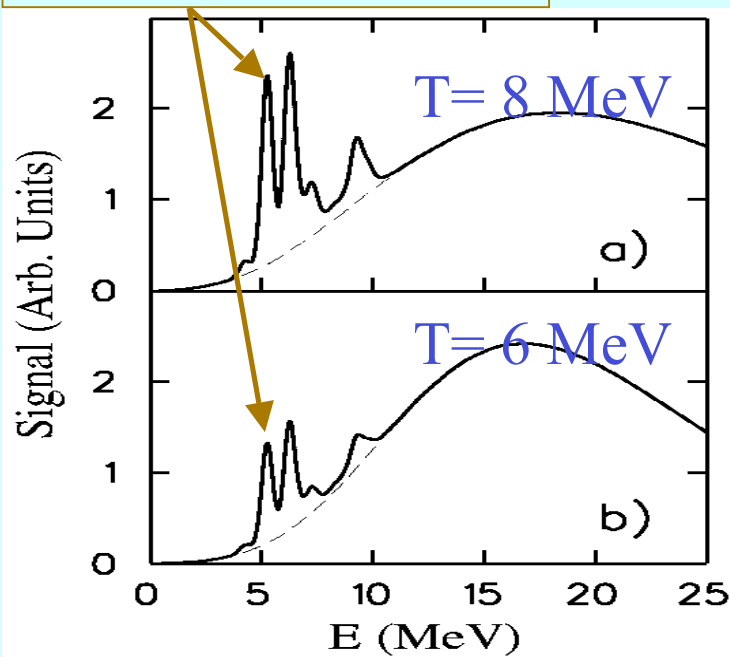


# Supernova Neutrinos

- For a SN at 10 kpc, UNO would detect 130,000 inverse beta decay events, 4,500 elastic scattering events, 4,500 neutral current events in the central region.
- High statistics might lead to our first observation of the birth of a black hole
- UNO is big enough to observe a supernova explosion even in Andromeda

## Neutral current events

$$\nu_x + {}^{16}\text{O} \rightarrow \nu_x + \gamma + X \quad \text{where} \quad X = {}^{15}\text{O}, {}^{15}\text{N}, \dots$$





# Very long baseline neutrino oscillation

VLBNO

## • Setting the stage

- UNO, ~ a half megaton F.V. water Cherenkov detector
- BNL very long baseline neutrino beam



## • VLB neutrino oscillation experiment

See, for example, PRD68 (2003) 12002 for physics argument

## • How do we find the signal for $\nu_\mu \rightarrow \nu_e$ ?

- $\nu_\mu \rightarrow \nu_e$  and  $\nu_e + N \rightarrow e + \text{invisible } N' + (\text{invisible } n\pi, n \geq 0)$

- Look for single electron events

- Major background

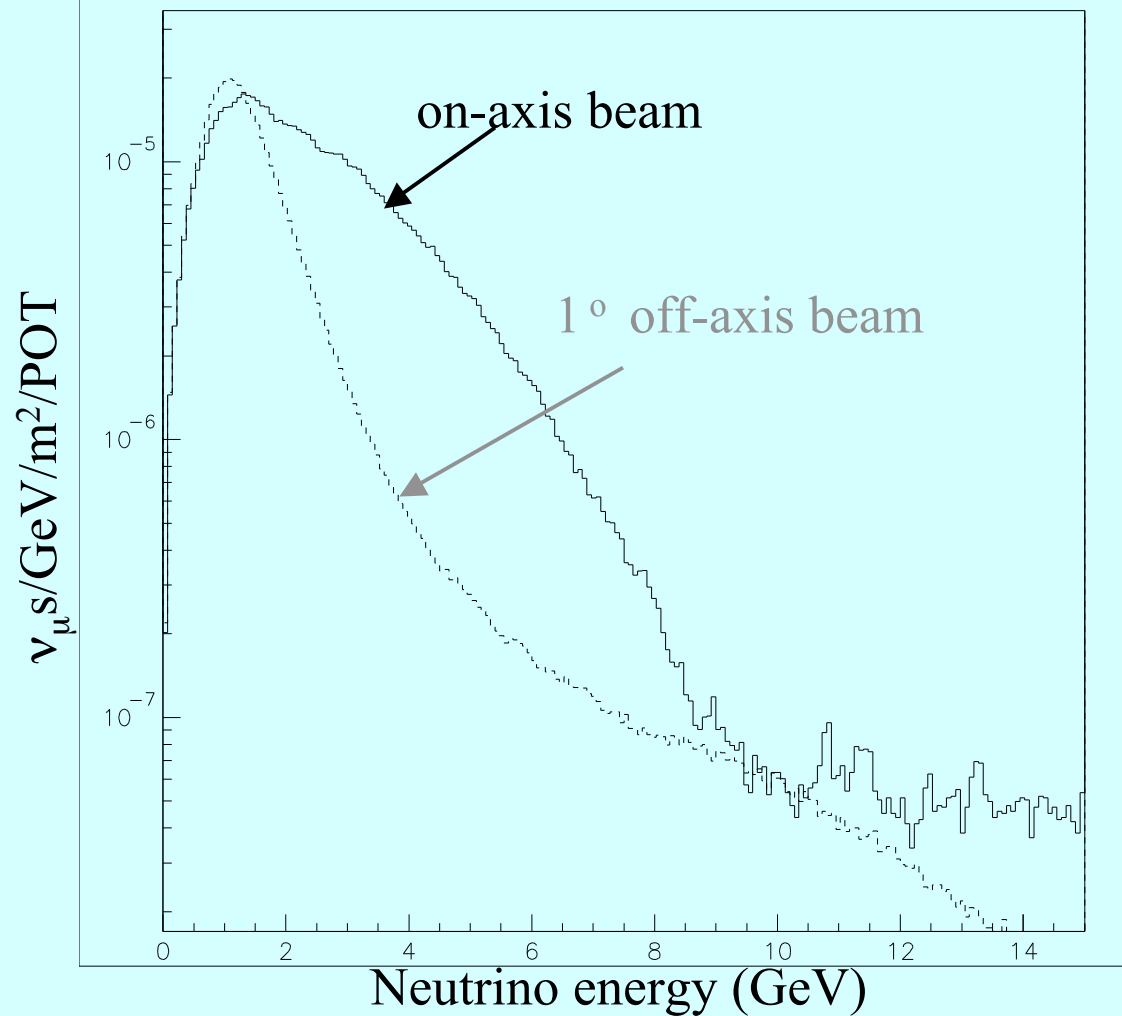
$$\star \nu_{\mu,\tau,e} + N \rightarrow \nu_{\mu,\tau,e} + N' + \pi^0 + (\text{invisible } n\pi, n \geq 0)$$

└─→  $\gamma$  ( $\gamma$ )

- $\nu_e$  contamination in beam (typically 0.7%)

- Spectra of on- and off-axis BNL Superbeams

PRD68 (2003) 12002; private communication w/ M.Diwan



## • How is analysis done ?

### • Use of SK atmospheric neutrino MC

- Standard SK analysis package + **special  $\pi^0$  finder**
- Flatten SK atm.  $\nu$  spectra and reweight with BNL beam spectra
- Normalize with QE events: 12,000 events for  $\nu_\mu$ , 84 events for beam  $\nu_e$  for 0.5 Mt F.V. with 5 years of running, 2,540 km baseline
- Reweight with oscillation probabilities for  $\nu_\mu$  and for  $\nu_e$  distance from  $\uparrow$ BNL to Homestake

### • Oscillation parameters used:

- $\Delta m^2_{21} = 7.3 \times 10^{-5} \text{ eV}^2$ ,  $\Delta m^2_{31} = 2.5 \times 10^{-3} \text{ eV}^2$
- $\sin^2 2\theta_{ij}(12,23,13) = 0.86/1.0/0.04$ ,  $\delta_{CP} = +45, +135, -45, -135^\circ$

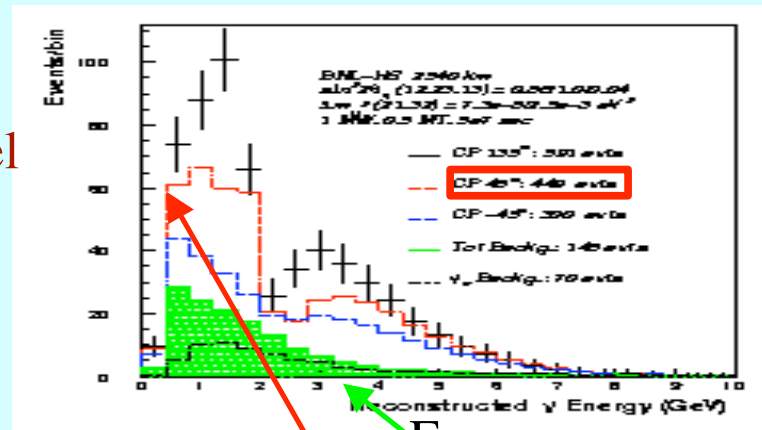
Probability tables from Brett Viren of BNL

## First comparison with BNL report

$\nu_e$  QE for signal, all  $\nu_\mu$ ,  $\nu_e$ ,  $\nu_\tau$  NC  $1\pi^0$  for bkg

### BNL report

Based on 4-vector level  
MC

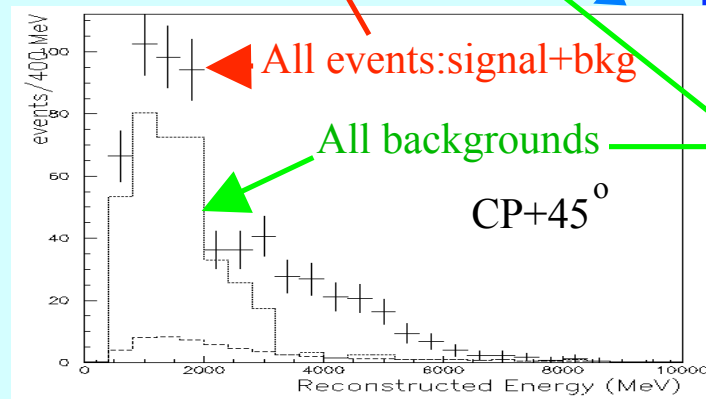


Signal 303 events

All bkg 146  
 ( 76 from  $\pi^0$ )  
 ( 70 from  $\nu_e$ )

### My first study with full SK simulation

Using traditional SK  
variables +  $\pi^0$  mass;  
similar cuts to BNL cuts



Compare ..... with +

Signal 242 events

All bkg 380  
 (324 from  $\pi^0$ )  
 ( 56 from  $\nu_e$ )

$E_{\text{rec}}$

Need improvement!

## • Selection criteria to improve

- Initial cuts: Traditional SK cuts only
  - One and only one electron like ring with energy and reconstructed neutrino energy more than 100 MeV without any decay electron
- Likelihood analysis using the following eight variables: With  $\pi^0$  finder
  - $\pi^0$  mass, energy fraction,  $\cos\theta$ ,  $\pi^0$ -likelihood, e-likelihood
  - $\Delta\pi^0$ -likelihood, total charge/electron energy, Cherenkov angle

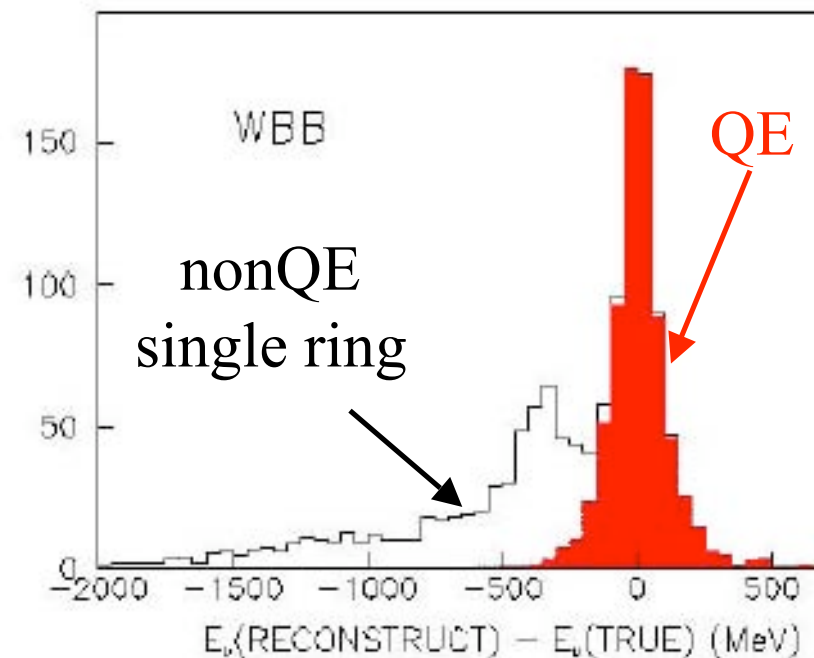
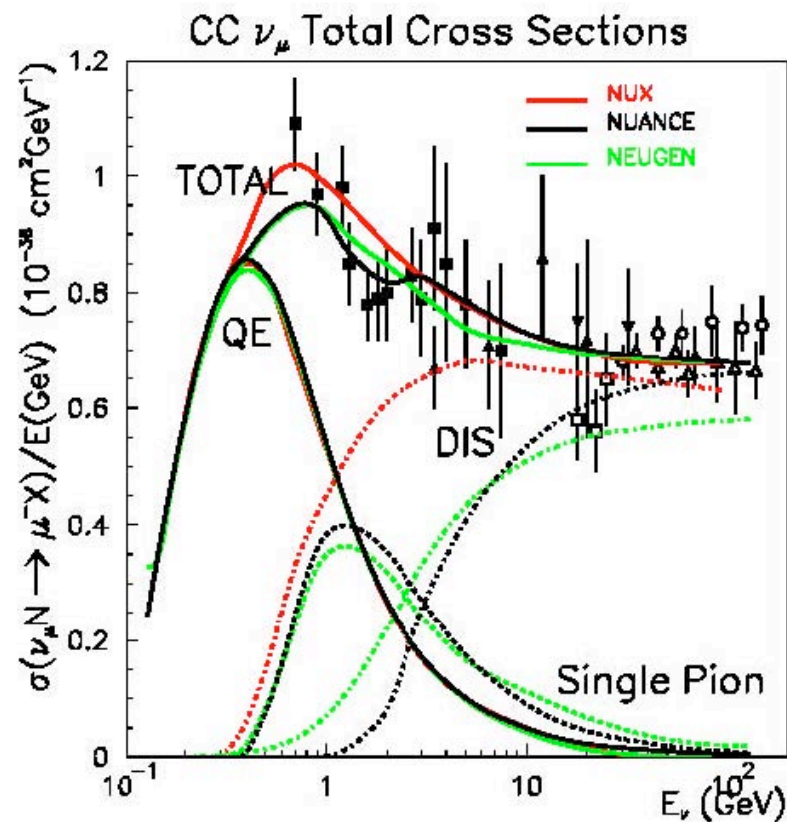
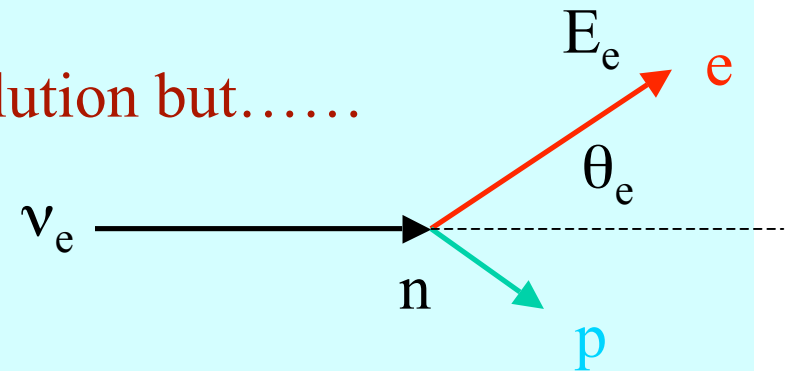
↑  
To reduce events with invisible  
charged pions

## • What is sources of the signal?

### • Neutrino energy reconstruction

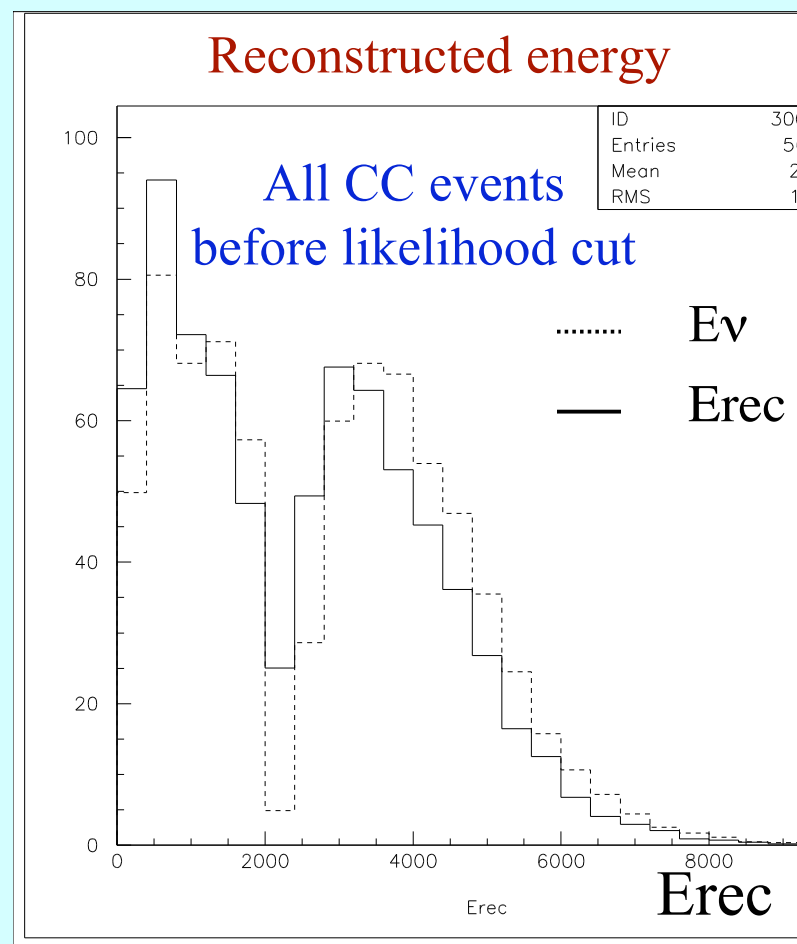
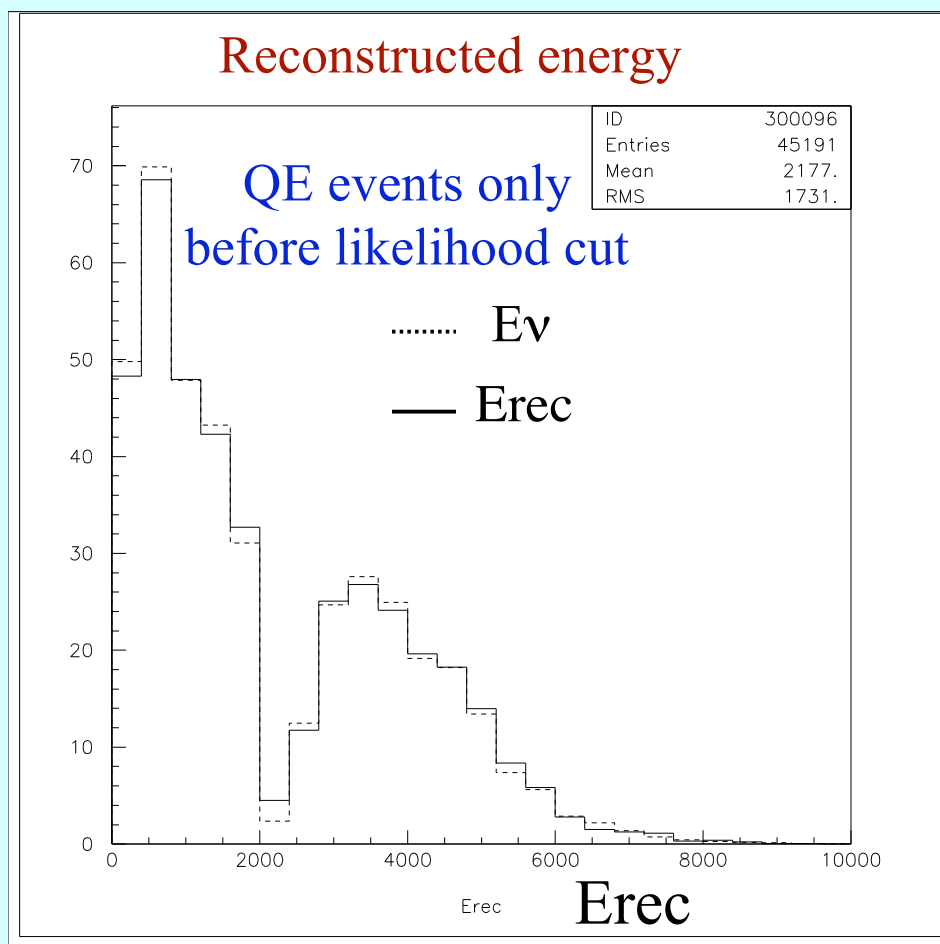
QE events give the best energy resolution but.....

$$E_{\nu}^{rec} = \frac{m_N E_e}{m_N - (1 - \cos \theta_e) E_e}$$



• What are sources of the signal? Single e-like events after initial cut

• What are sources of the signal and of the background?



All CC events that survive the initial cuts are signals

# $\pi^0$ Finder

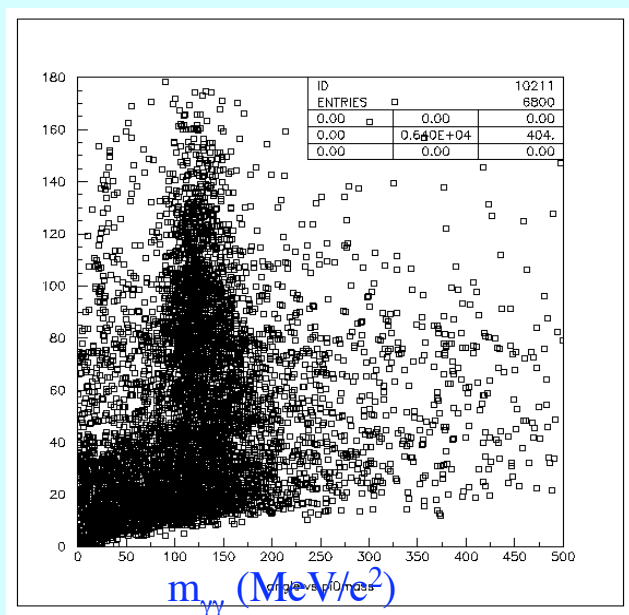
$\pi^0$  finder

- $\pi^0$  finder Always finds an extra ring in a single ring event

- $\pi^0$  detection efficiency with standard SK software
- measured opening angle vs.  $\pi^0$  mass with  $\pi^0$  finder

Single e-like events from single  $\pi^0$  int.

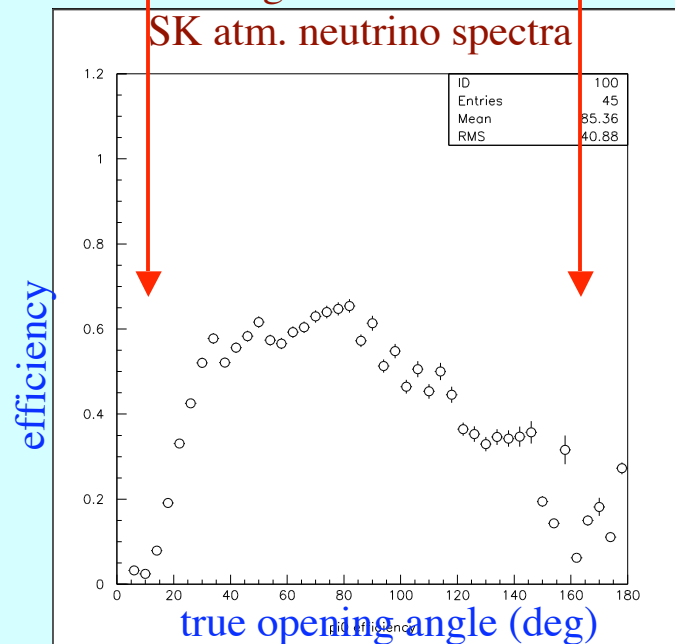
opening angle measured(deg)



inefficiency  
due to overlap

inefficiency due to  
weak 2<sup>nd</sup> ring

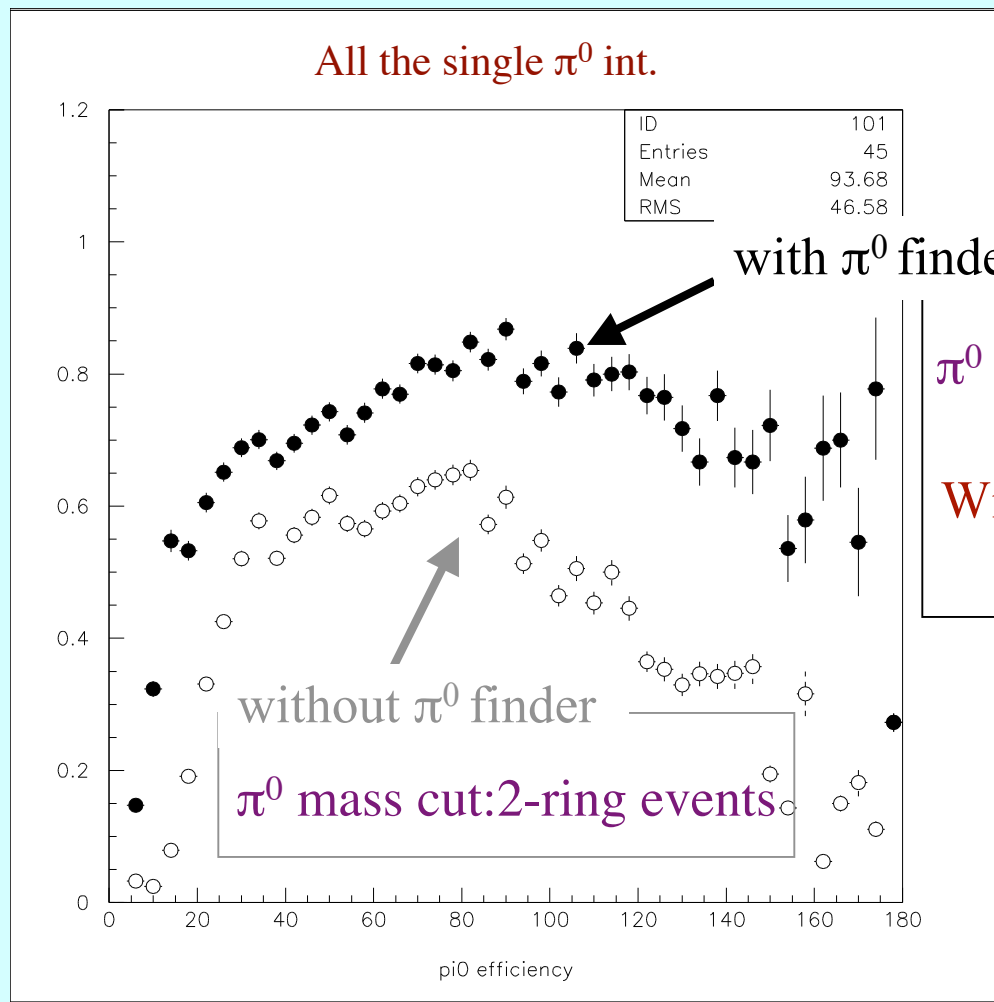
All single  $\pi^0$  interactions  
SK atm. neutrino spectra





$\pi^0$  efficiency

$\pi^0$  detection efficiency with standard SK +  $\pi^0$  finder



with  $\pi^0$  finder

w/o  $\pi^0$  finder

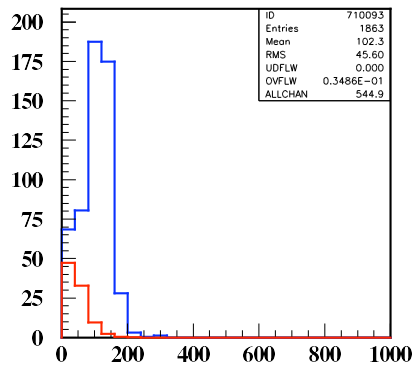
$\pi^0$  mass cut: 1- and 2-ring events

With atmospheric neutrino spectra

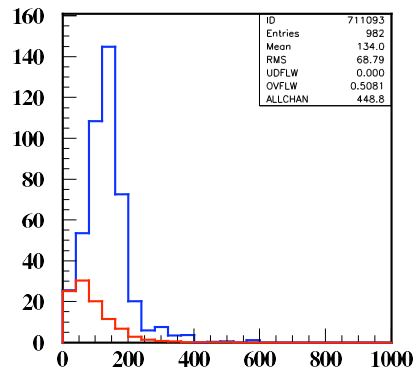
# Useful Variables

$\pi^0$  mass

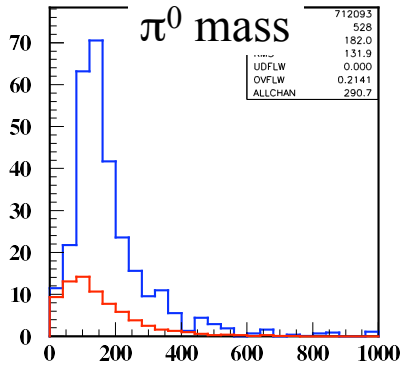
All the distributions of useful variables are obtained with neutrino oscillation on with CPV phase angle  $+45^\circ$



pi0 mass

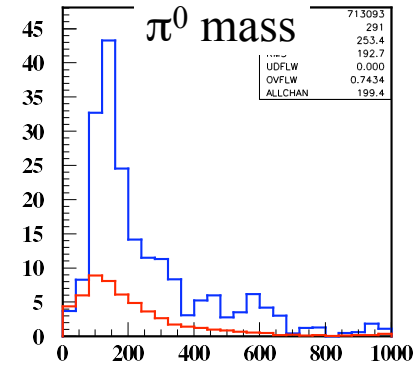


pi0 mass



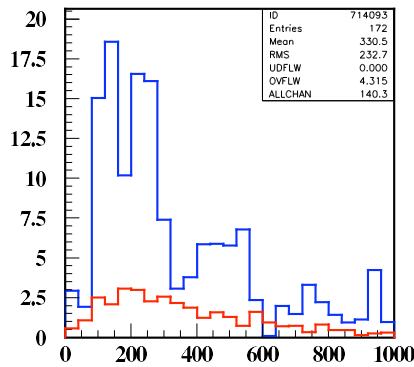
pi0 mass

$\pi^0$  mass

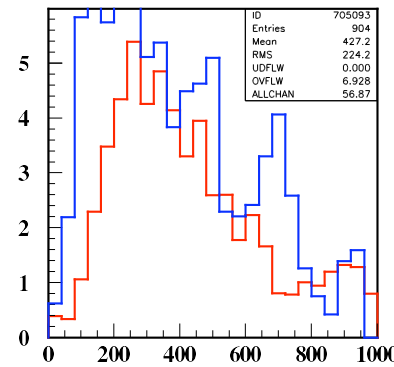


pi0 mass

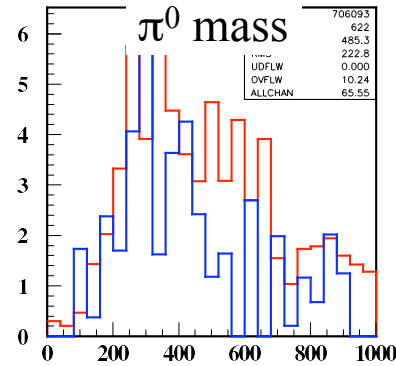
$\pi^0$  mass



pi0 mass

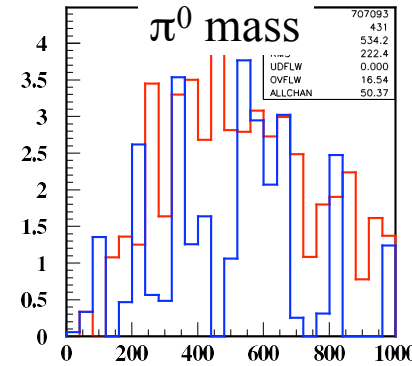


pi0 mass



pi0 mass

$\pi^0$  mass

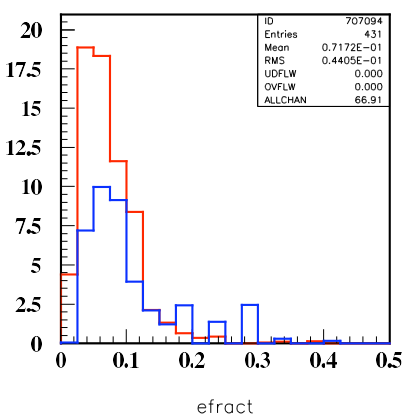
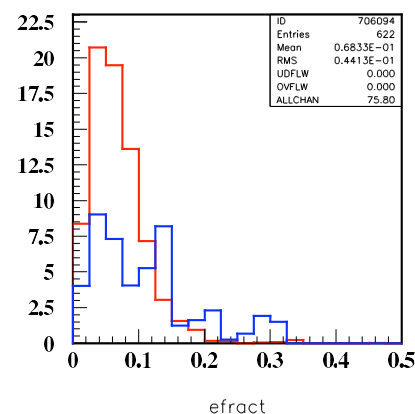
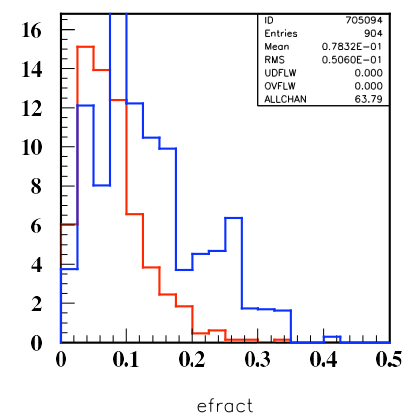
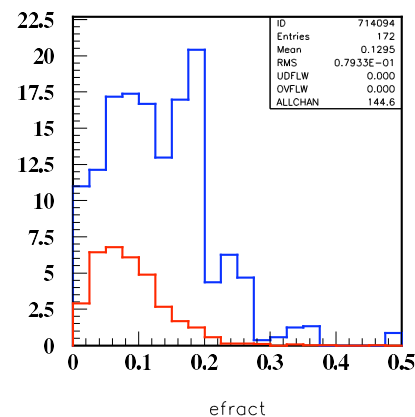
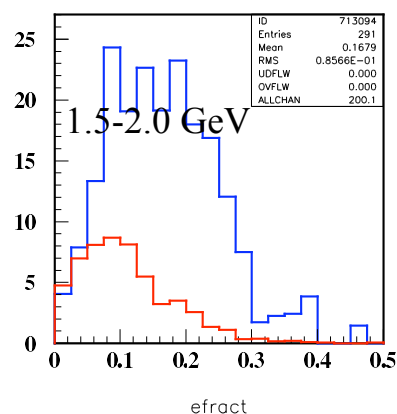
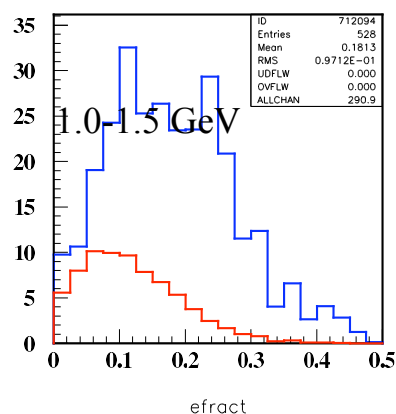
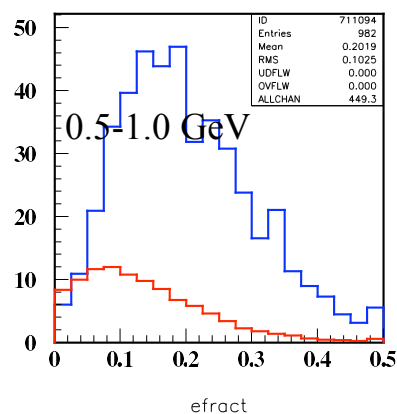
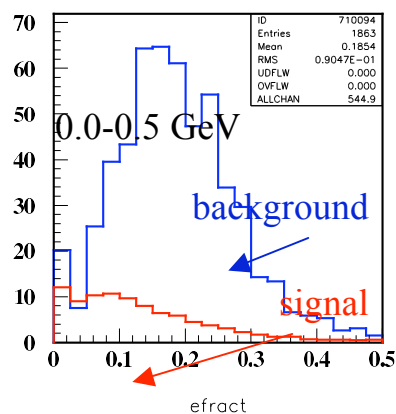


pi0 mass

$\pi^0$  mass

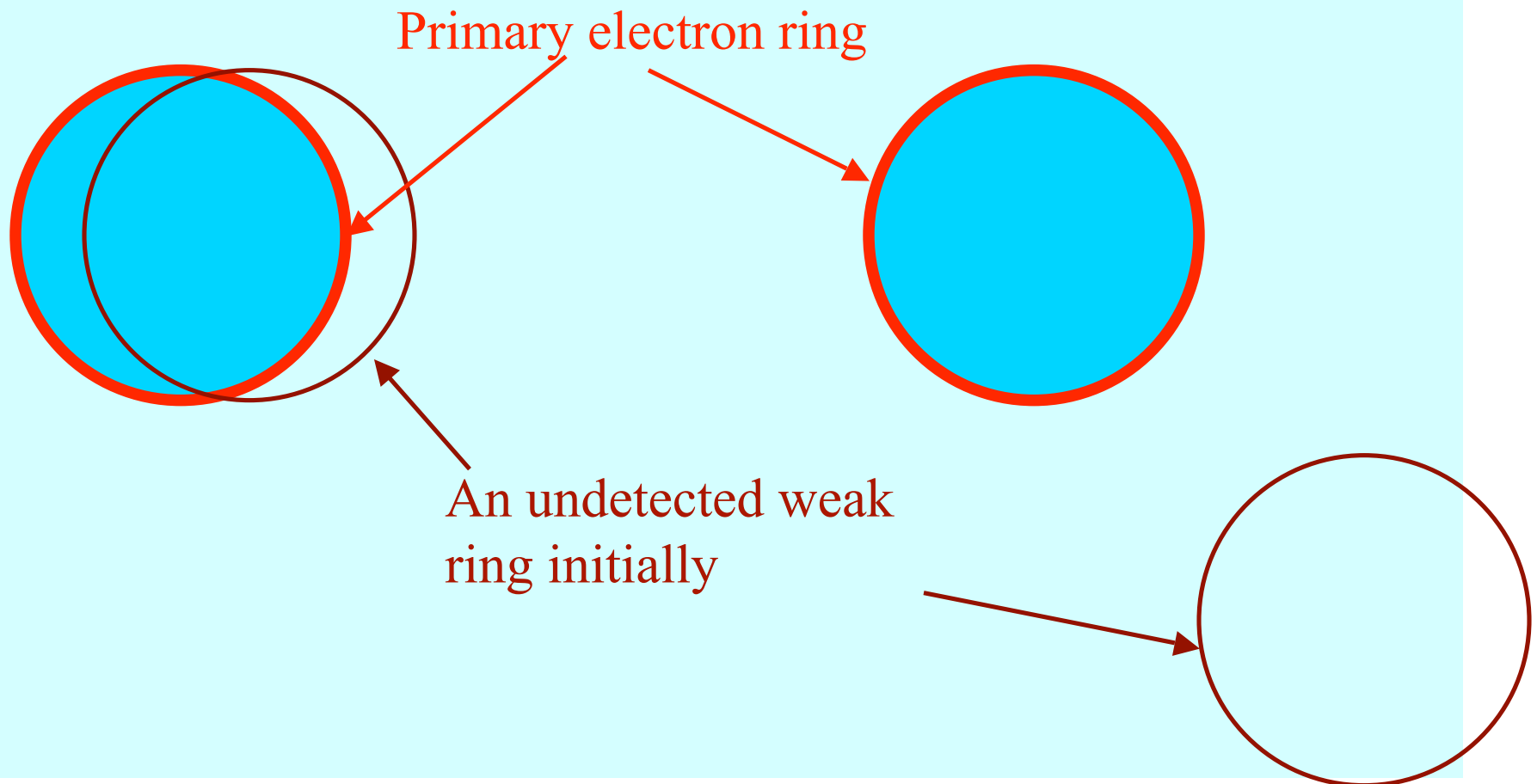
# Energy fraction of 2<sup>nd</sup> ring

Fake ring has less energy than real one

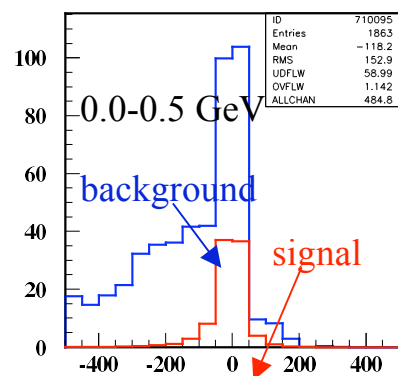


## • Difference between log of two $\pi^0$ -likelihood (wide vs. forward)

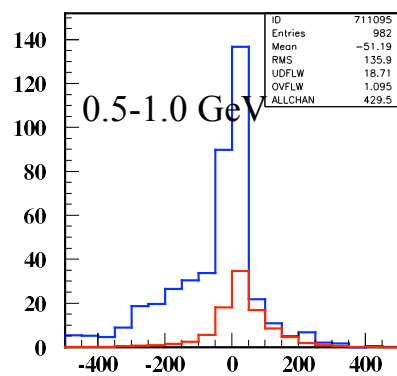
- One algorithm optimized to find an extra ring near the primary ring (forward region)
- Another algorithm optimized to find an extra ring in wider space (wide region)
- See the difference  $\ln \pi^0$ -likelihood (forward) -  $\ln \pi^0$ -likelihood (wide)



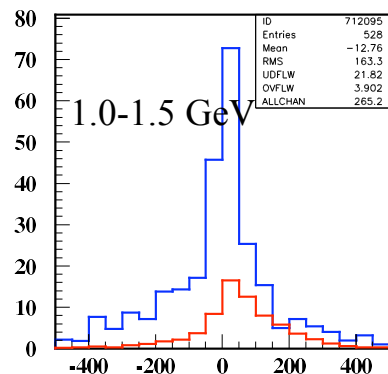
# • Difference between log of two $\pi^0$ -likelihood (wide vs. forward)



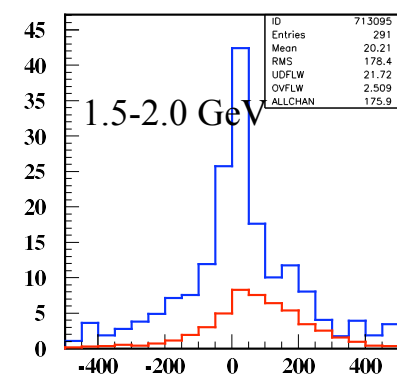
pi0like2-pi0like1



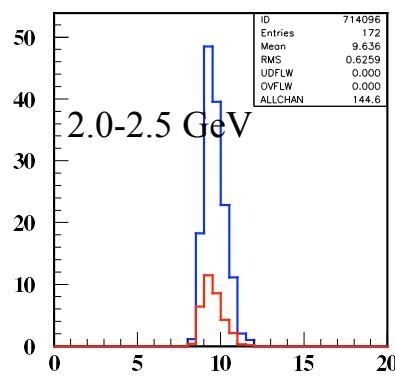
pi0like2-pi0like1



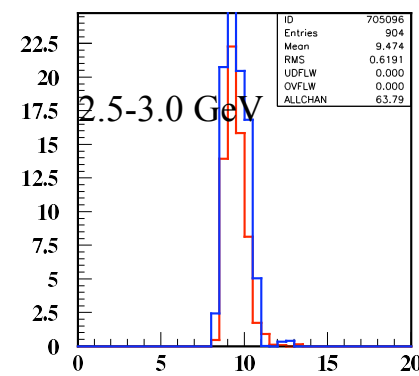
pi0like2-pi0like1



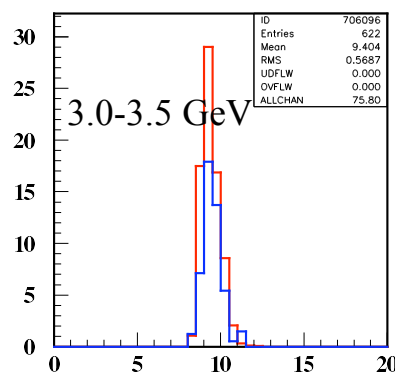
pi0like2-pi0like1



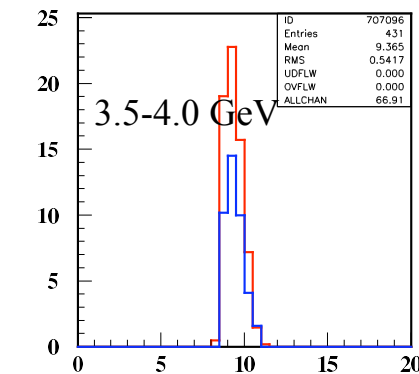
potot/E



potot/E

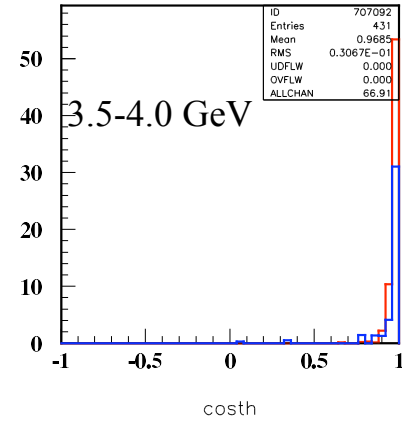
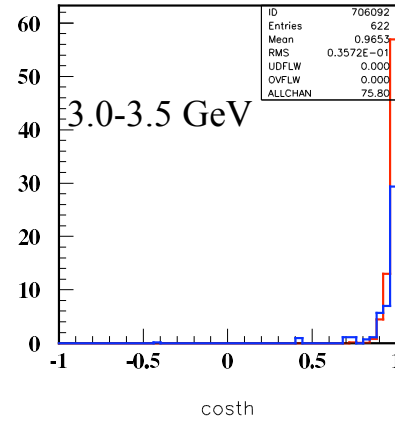
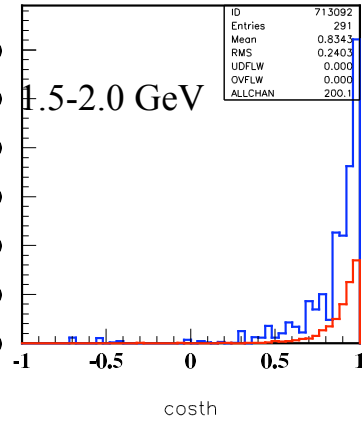
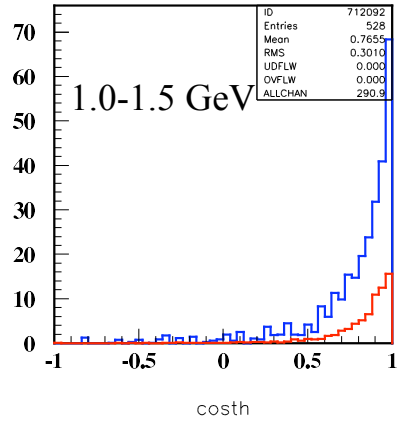
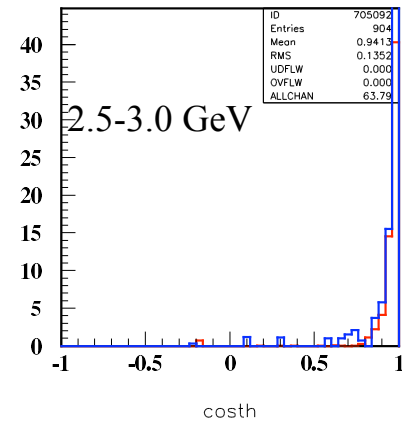
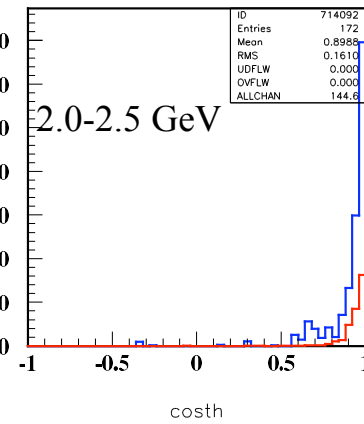
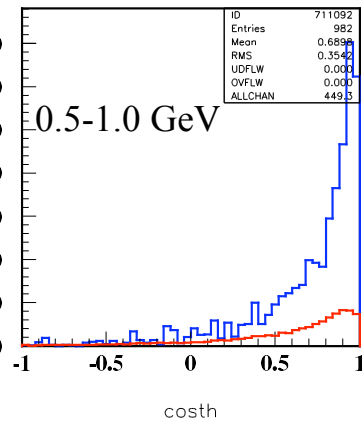
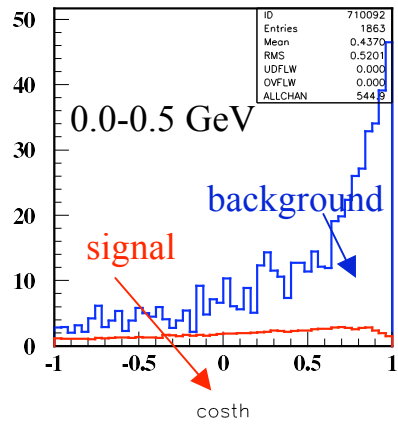


potot/E



potot/E

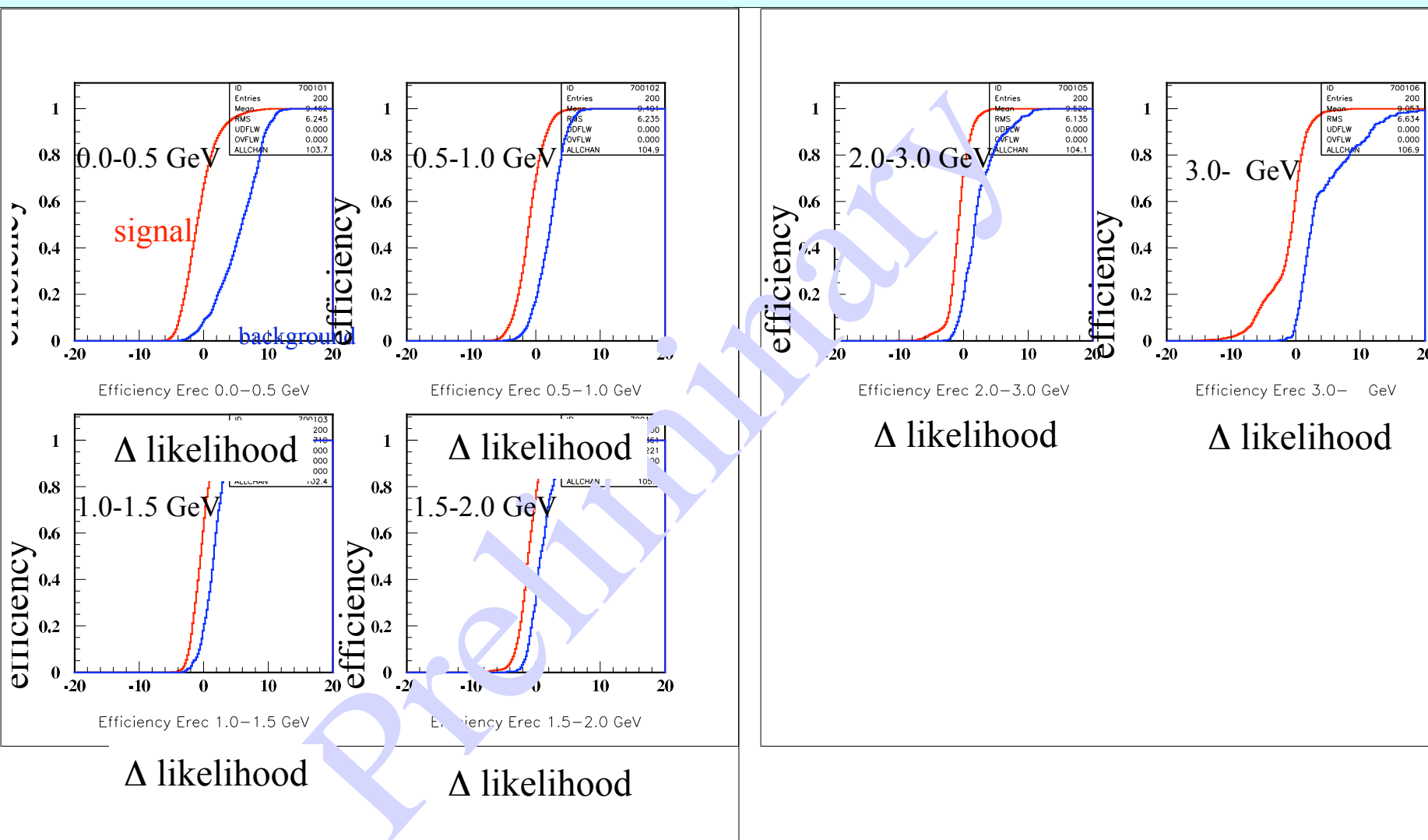
$$\text{costh} = \cos \theta_e$$





Trained with  $\nu_e$  CC events for signal,  $\nu_\mu$  CC/NC &  $\nu_{e,\tau}$  NC for bkg

- Efficiency of a cut on  $\Delta \ln$  likelihood ( signal vs background)





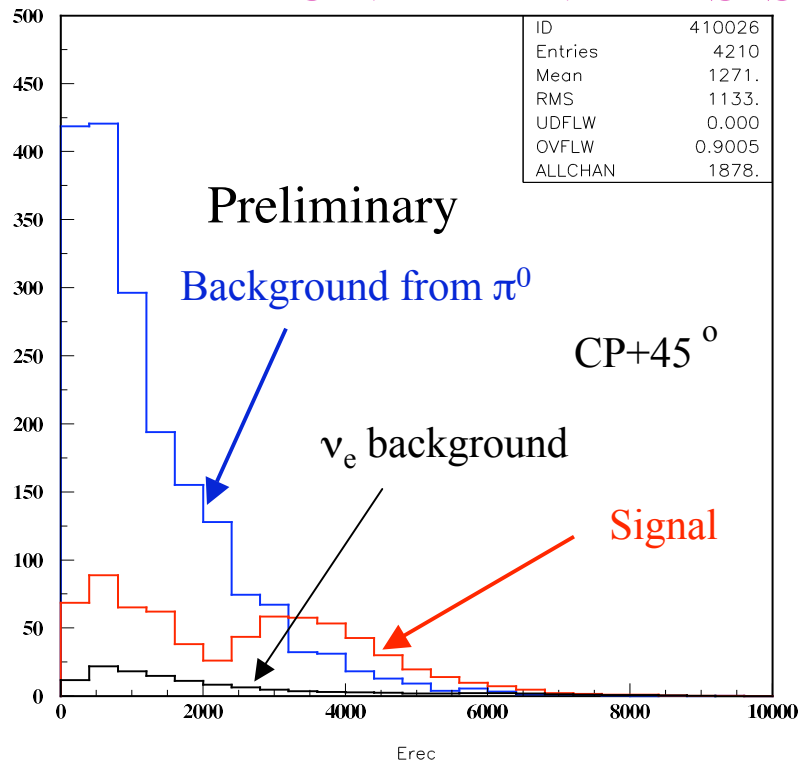
# Effect of cut on $\Delta$ likelihood

$\nu_e$  CC for signal ; all  $\nu_{\mu,\tau,e}$  NC ,  $\nu_e$  beam for background

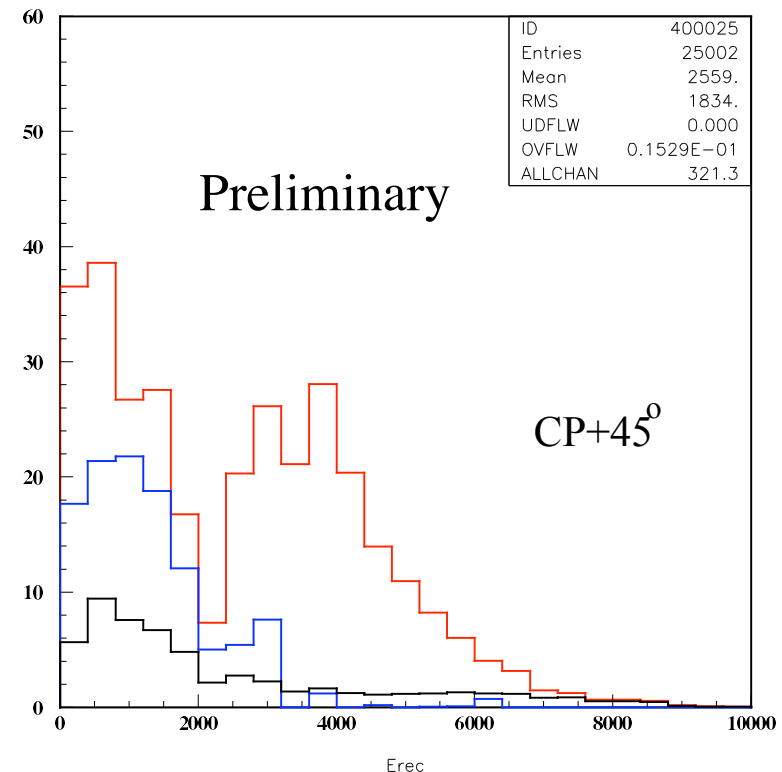
No  $\Delta$  likelihood cut (100% signal retained)

$\Delta$  likelihood cut (~50% signal retained)

## TRADITIONAL ANALYSIS



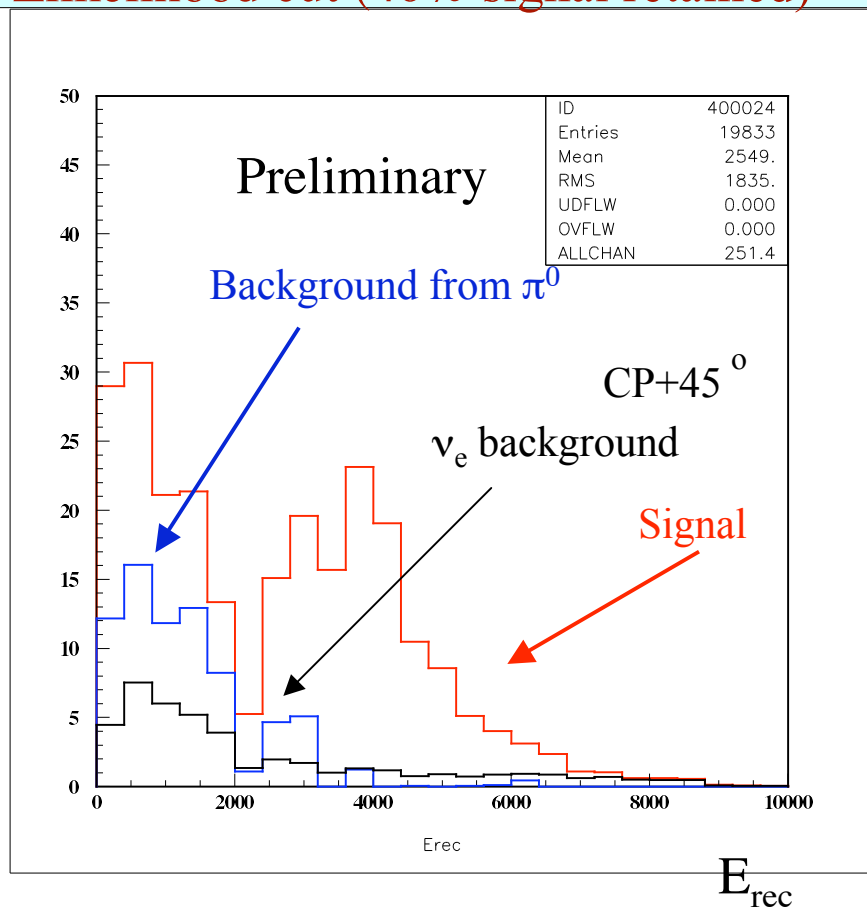
Signal 700 ev Bkgs 2005  
 (1878 from  $\pi^0$ +others)  
 ( 127 from  $\nu_e$ )



Signal 321 ev Bkgs 169  
 (112 from  $\pi^0$ +others)  
 ( 57 from  $\nu_e$ )

# Effect of cut on $\Delta$ likelihood

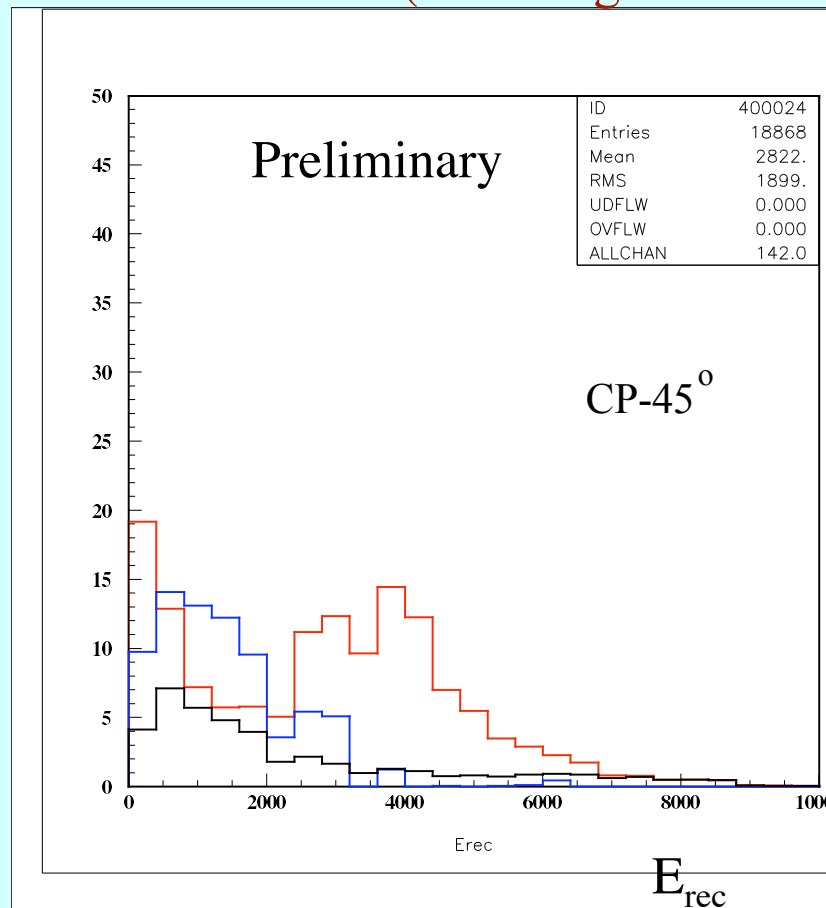
$\Delta$ likelihood cut (40% signal retained)



Signal 251 ev Bkgs 118  
 ( 74 from  $\pi^0$ +others)  
 ( 44 from  $\nu_e$ )

$\nu_e$  CC for signal ; all  $\nu_{\mu,\tau,e}$  NC ,  $\nu_e$  beam for backgrounds

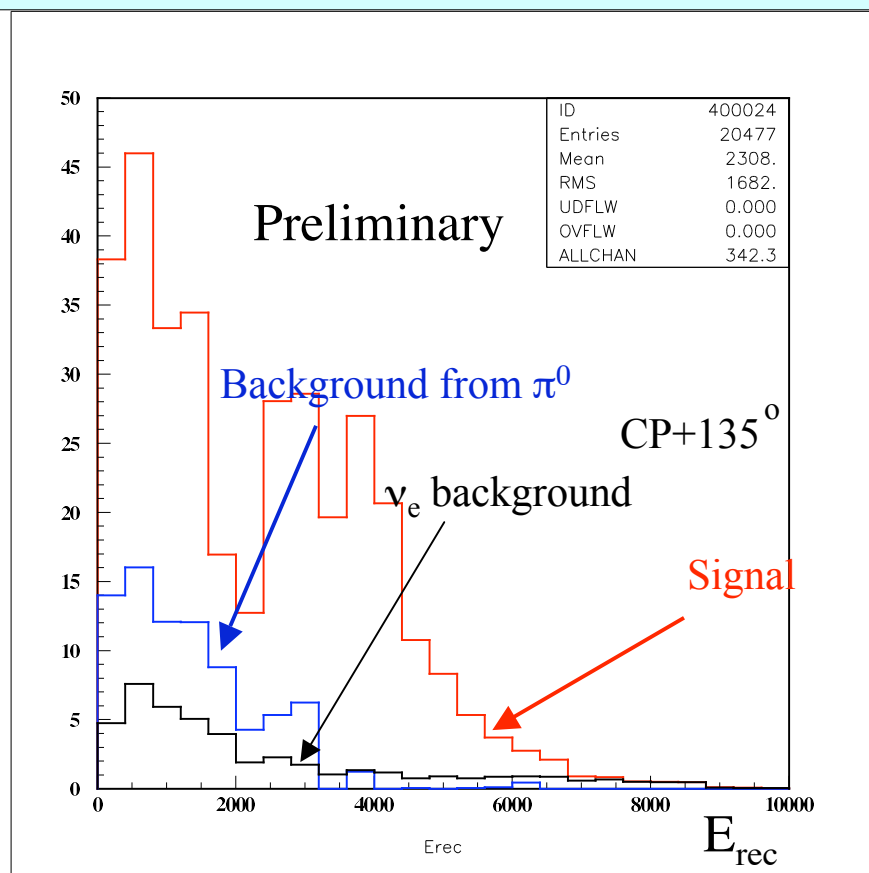
$\Delta$ likelihood cut (~40% signal retained)



Signal 142 ev Bkgs 118  
 ( 75 from  $\pi^0$ +others)  
 ( 43 from  $\nu_e$ )

# Effect of cut on likelihood

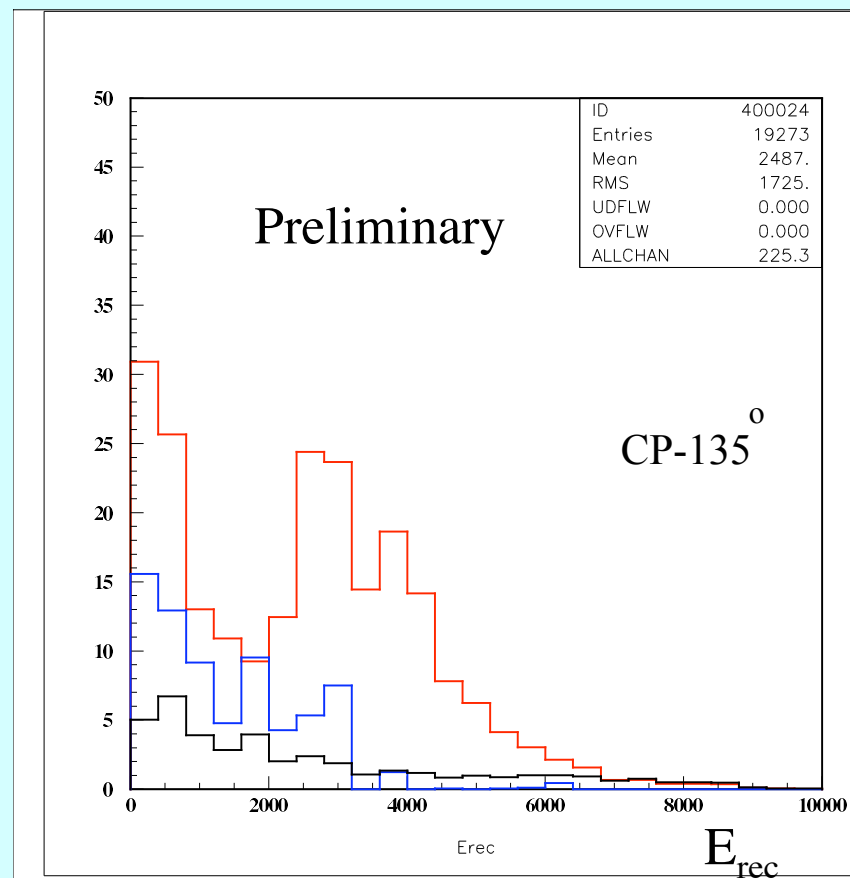
$\Delta$ likelihood cut (~40% signal retained)



Signal 342 ev Bkgs 126  
 ( 81 from  $\pi^0$ +others)  
 ( 45 from  $\nu_e$ )

$\nu_e$  CC for signal ; all  $\nu_{\mu,\tau,e}$  NC ,  $\nu_e$  beam for backgrounds

$\Delta$ likelihood cut (~40% signal retained)

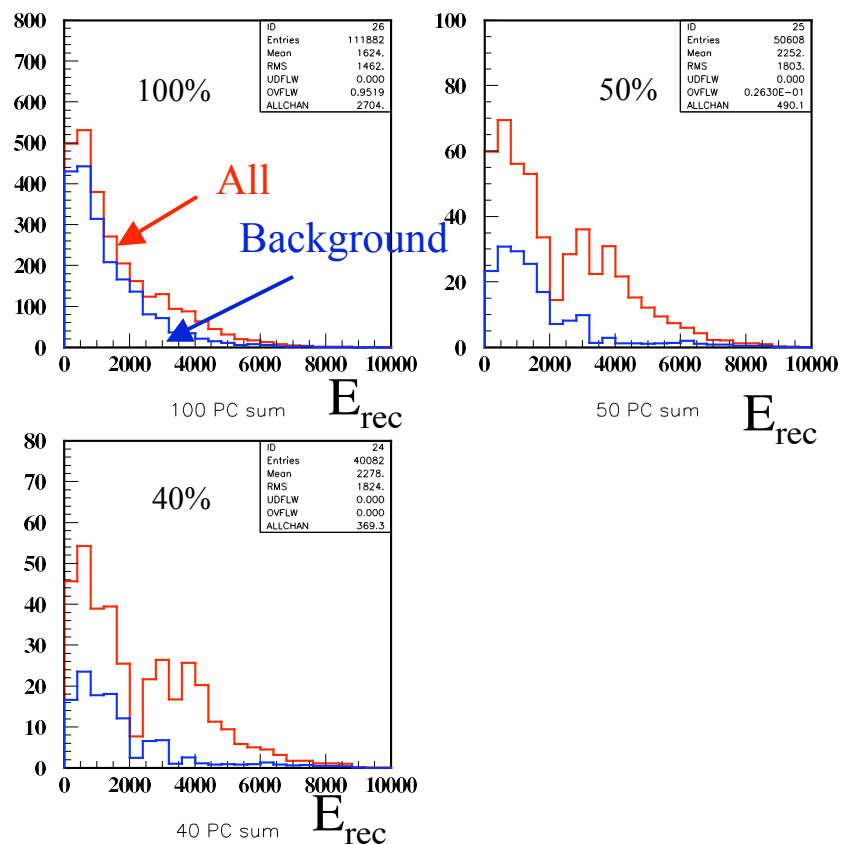


Signal 233 ev Bkgs 122  
 ( 78 from  $\pi^0$ +others)  
 ( 44 from  $\nu_e$ )

# Effect of cut on likelihood

CP +45°

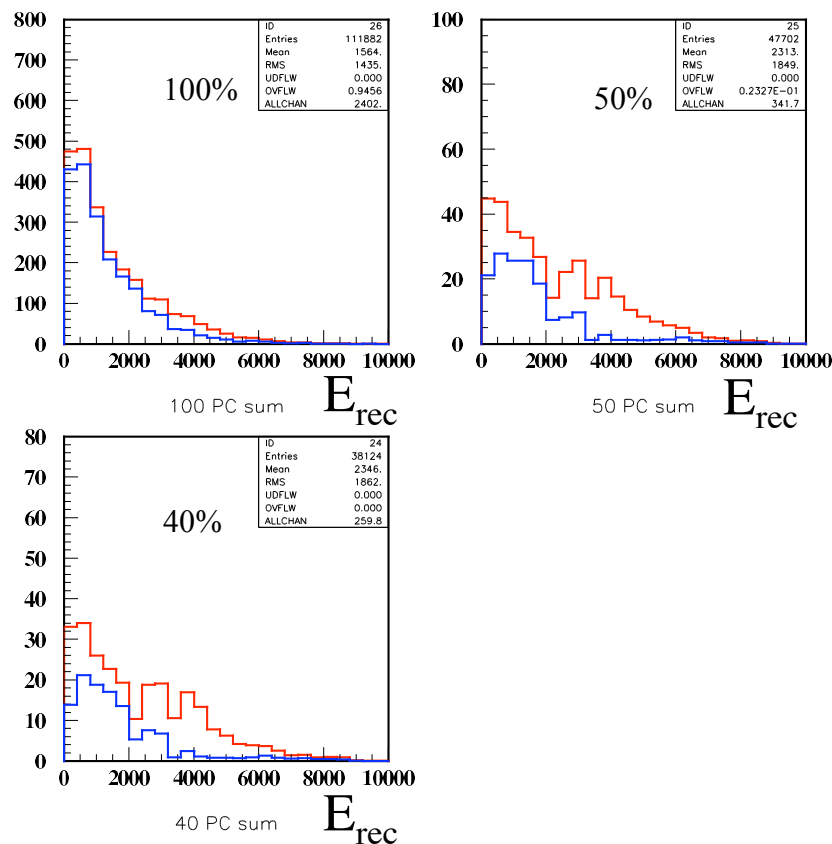
Preliminary



$\nu_e$  CC for signal ; all  $\nu_{\mu,\tau,e}$  NC ,  $\nu_e$  beam  
for backgrounds

CP-45°

Preliminary

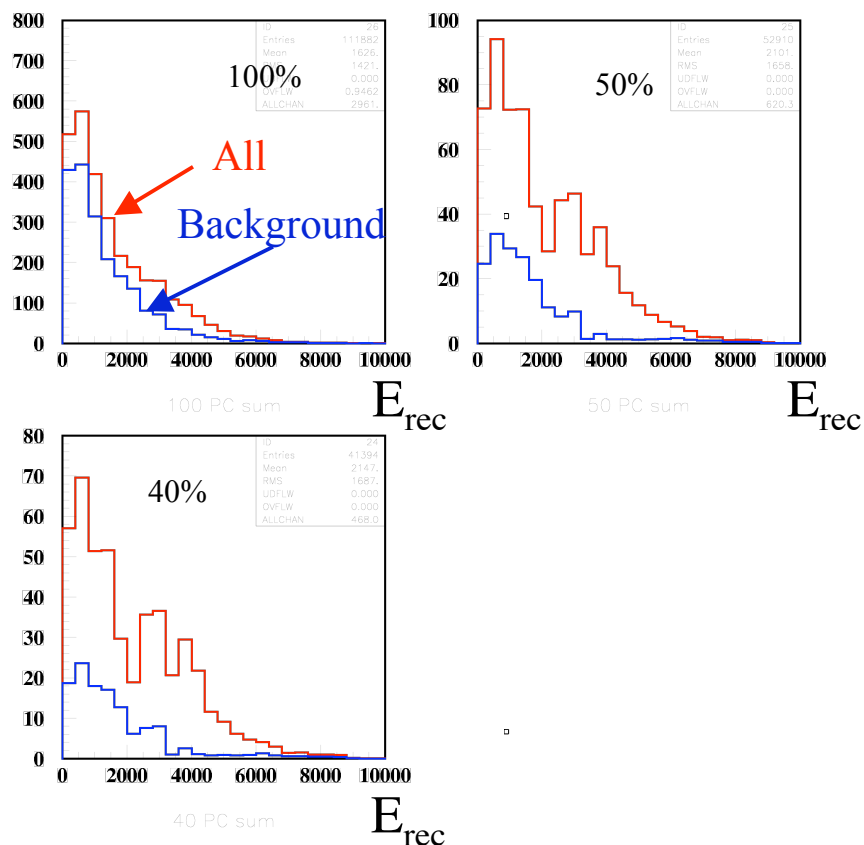


# Effect of cut on likelihood

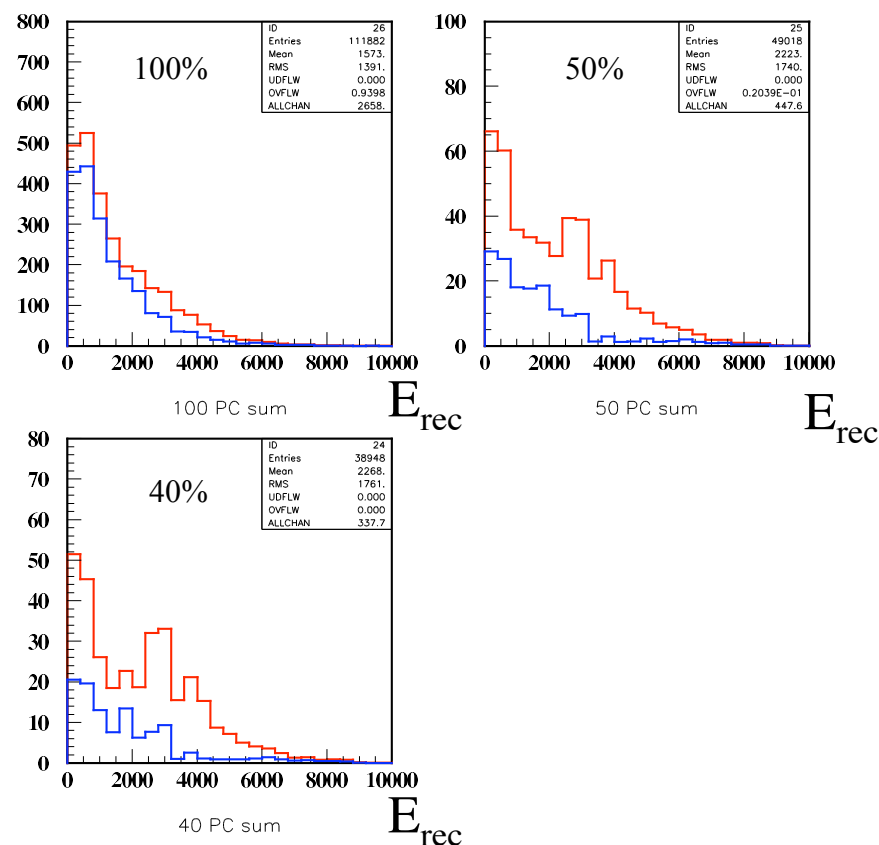
$\nu_e$  CC for signal ; all  $\nu_{\mu,\tau,e}$  NC ,  $\nu_e$  beam  
for backgrounds

CP +135°

Preliminary



Preliminary



S/B

## Summary of BNL superbeam@UNO

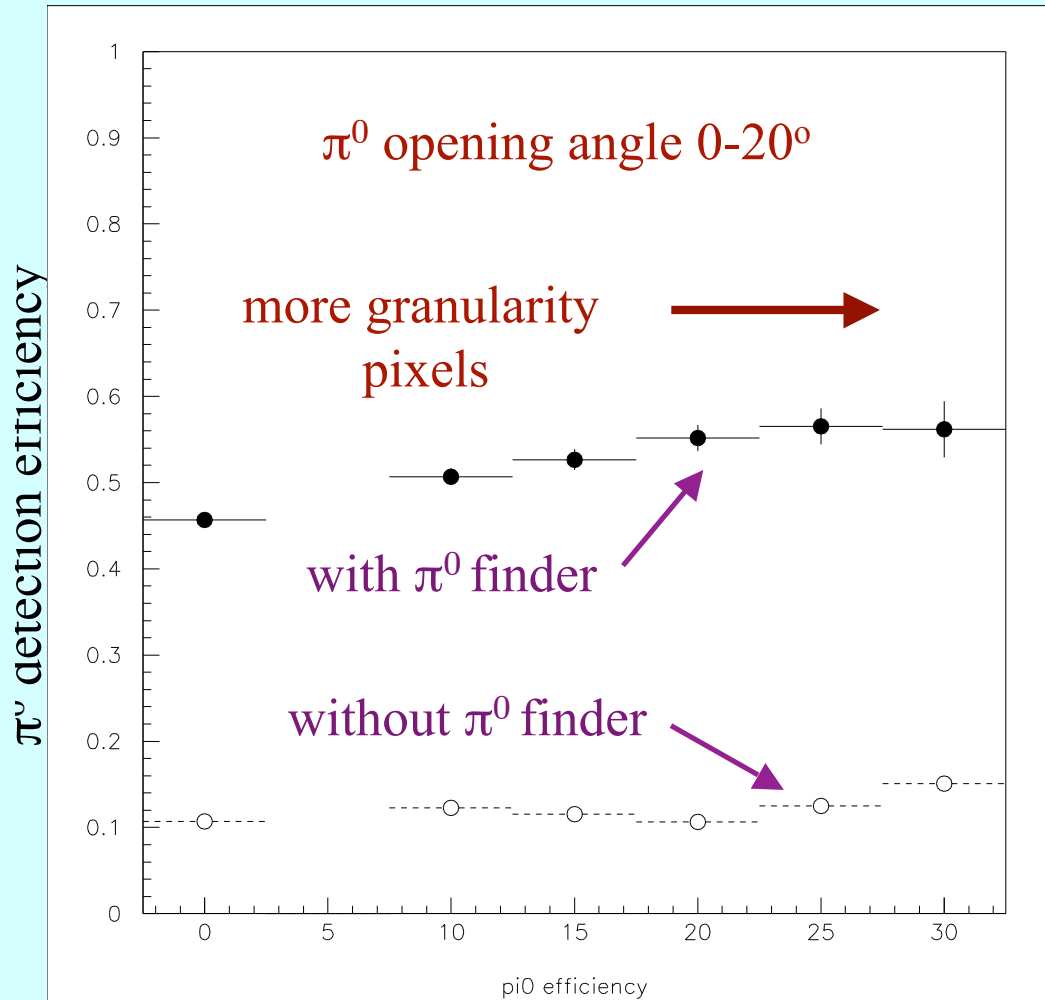
CP phase	Signal	Bkg	Effic	Signal	Bkg	Beam $\nu_e$
$0^\circ$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e$ NC	40%	178	75	43
$-135^\circ$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e$ NC	40%	233	78	44
$+135^\circ$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e$ NC	40%	342	81	45
$-45^\circ$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e$ NC	40%	142	75	43
$+45^\circ$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e$ NC	100%	700	1878	127
			50%	321	112	57
			40%	251	74	44

with traditional water Chrenkov cuts

## • Granularity and $\pi^0$ efficiency

Expected improvement with UNO?

Compared with SK size detector



Minimum distance to wall in  $\pi^0$  direction (m)

- For smaller  $\pi^0$  opening angle finer granularity needed
- $\pi^0$  efficiency improves when min. distance increases (up to 20%)
- See power of  $\pi^0$  finder

One issue I never mentioned before is that 2/3 of UNO volume is covered only 10% by PMTs and that we need to check the detector performance with 10% PMT coverage

## Conclusions

- UNO has great potential for future physics and it is moving steadily with steady increase in the membership **from 49 to 94**
  - Realistic MC simulation studies have been performed for BNL very long baseline with a water Cherenkov detector and it was found that BNL VLB combined with UNO seems to **DO GREAT JOB – Very exciting news but need confirmation**
  - It was demonstrated that there is some room to improve S/B ratio beyond the standard water Cherenkov detector software with currently available software
    - We need to do similar analysis using a MC package that simulates the UNO baseline design (2 x 10% + 40% coverage and size)
- {

  - We may need further improvement of algorithm/software, which is quite possible
  - Detailed studies on sensitivity on oscillation parameters needed
  - A larger detector such as UNO has an advantage over a smaller detector such as SK (we learned a lesson from 1kt at K2K)

**A detailed Monte Carlo package for UNO is in preparation!**



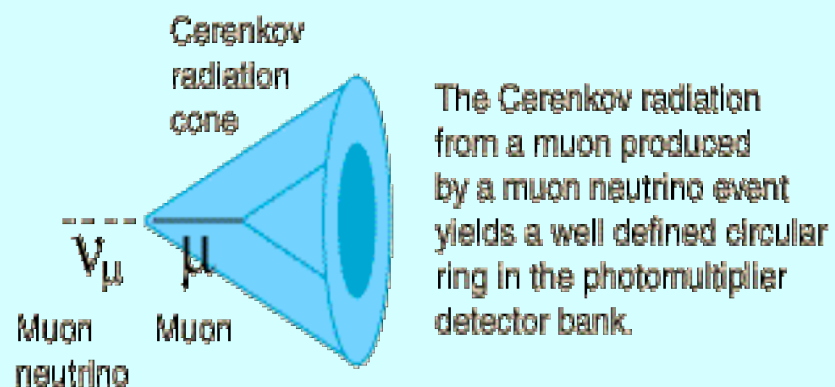
# Backup Slides

# Schedule

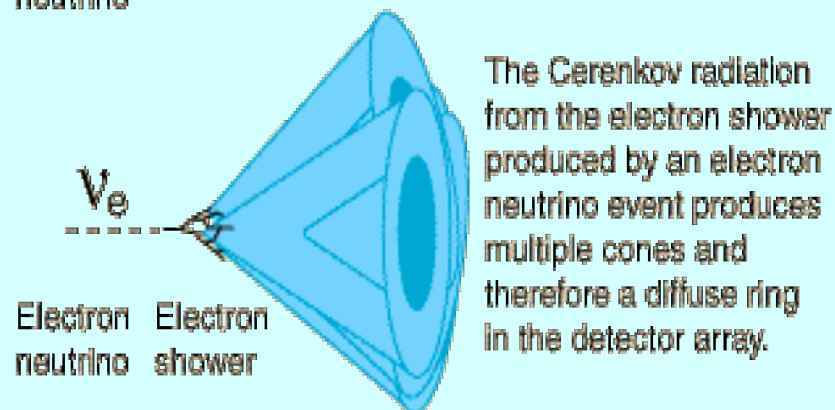
	Year -2	Year -1	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10
R&D Proposal LOI	↔											
Tech.Proposal		↔										
Excavation			↔	↔	↔	↔	↔					
Water Containment						↔	↔	↔	↔			
PMT Delivery			↔	↔	↔	↔	↔	↔	↔	↔		
Preparation			↔	↔	↔	↔	↔	↔	↔			
Installation										↔	↔	
Water Fill												↔
								Contingency	↔			

# Electron-like vs. muon-like ring

How do we detect atmospheric muon and electron neutrinos ?



The Cerenkov radiation from a muon produced by a muon neutrino event yields a well defined circular ring in the photomultiplier detector bank.

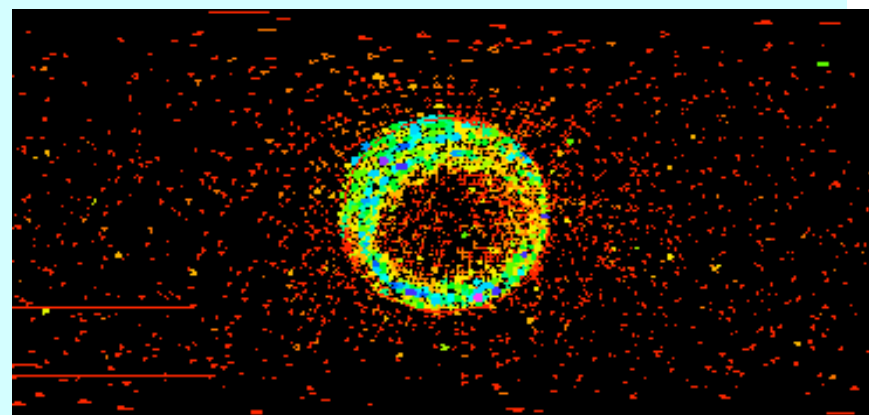


The Cerenkov radiation from the electron shower produced by an electron neutrino event produces multiple cones and therefore a diffuse ring in the detector array.

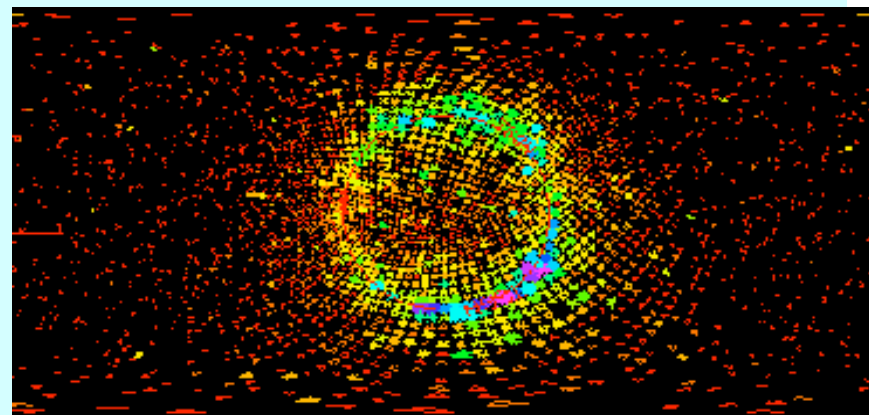
Major interactions:



Most of time invisible



muon-like ring

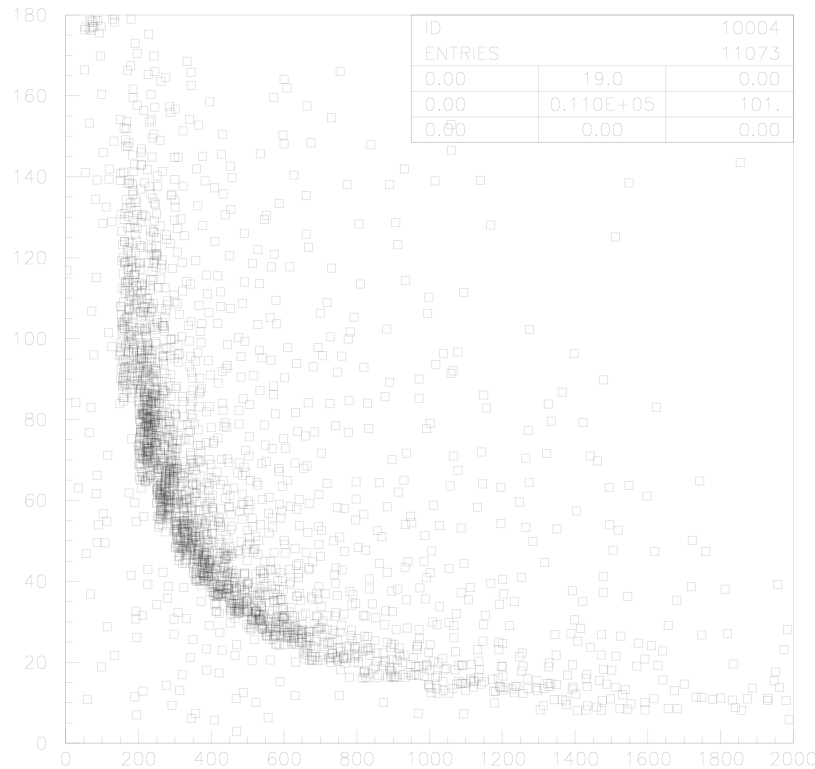


electron-like ring

- $\pi^0$  efficiency

- $\pi^0$  opening angle vs. measure  $\pi^0$  energy

$\pi^0$  measured opening angle (deg)



measured  $\pi^0$  energy (MeV)

Note: The energy spectrum of  $\pi^0$  is that of SK atm.  $\nu$  interactions

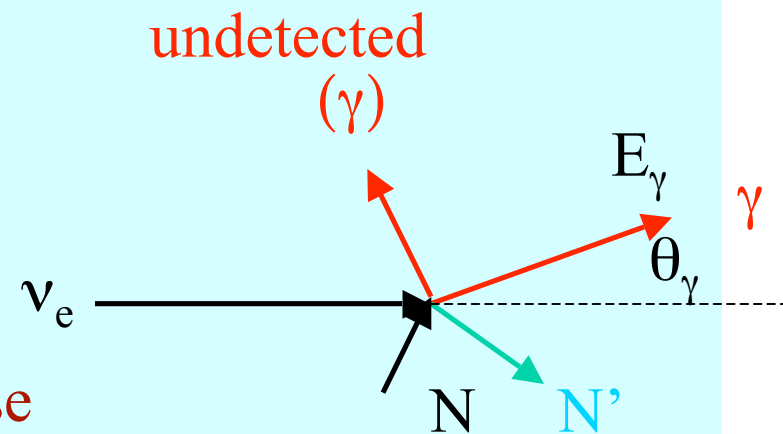
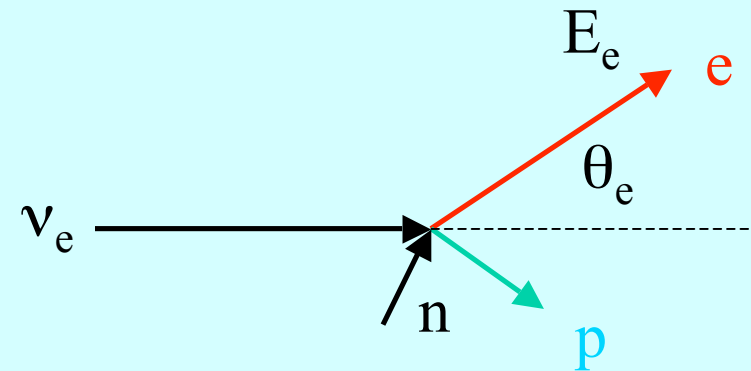
$$\bullet \text{ costh} = \cos \theta_e$$

$$E_\nu^{rec} = \frac{m_N E_e}{m_N - (1 - \cos \theta_e) E_e}$$

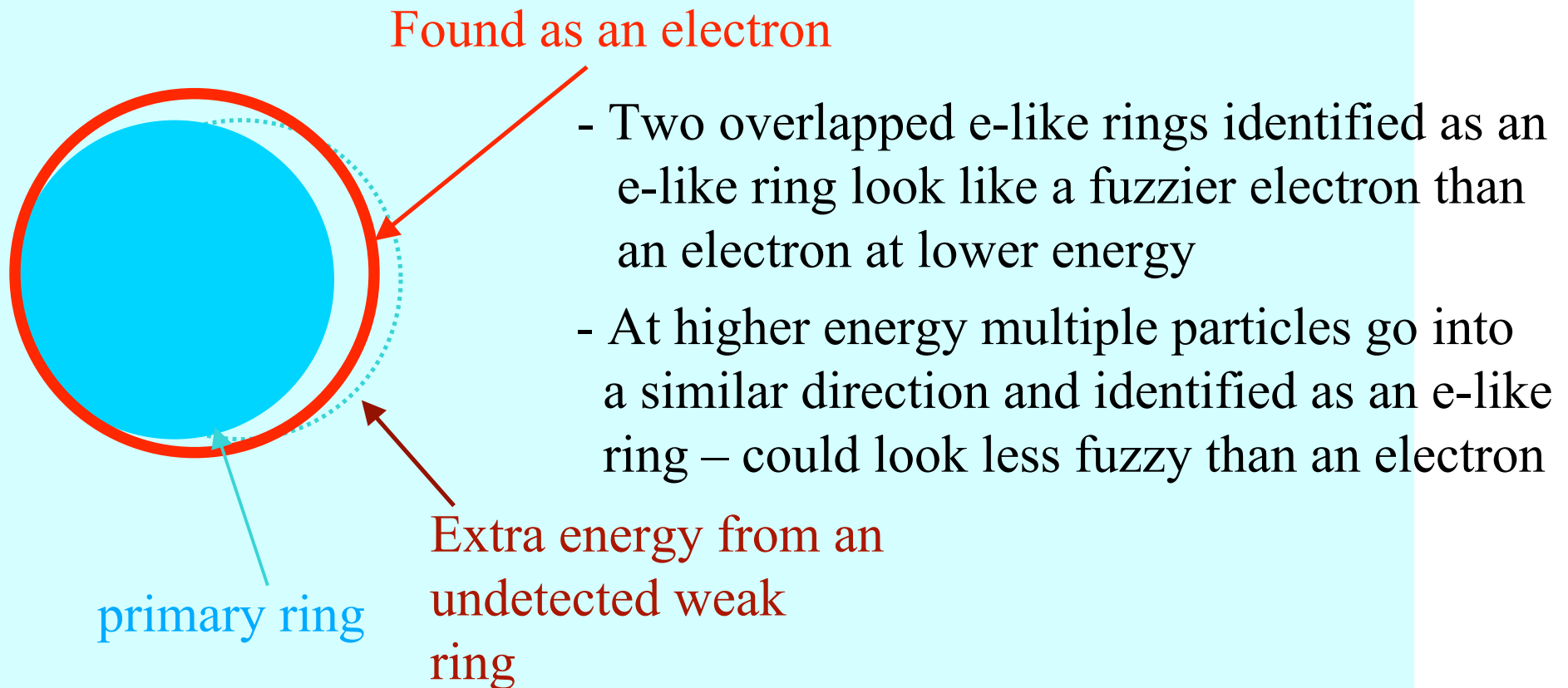
It is not clear why the distributions of  $\text{costh}$  behave as shown in the following.

My speculation:

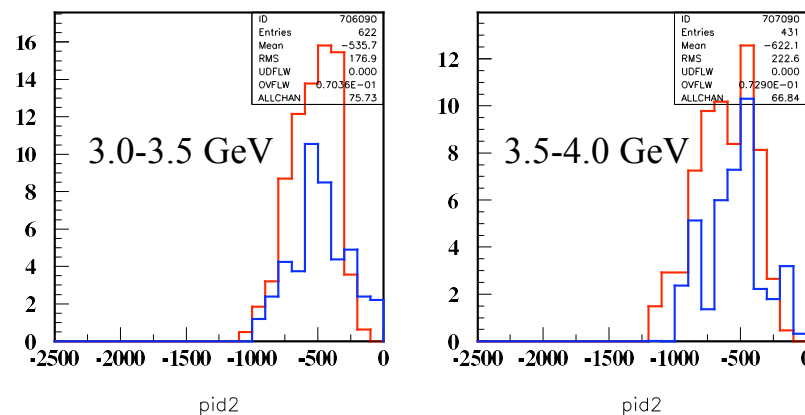
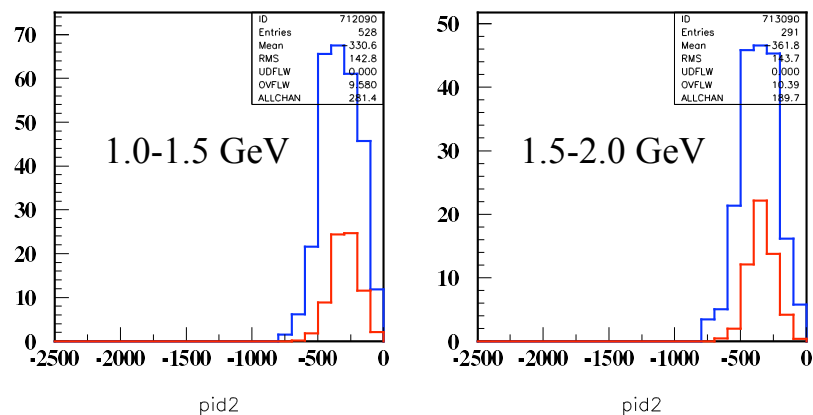
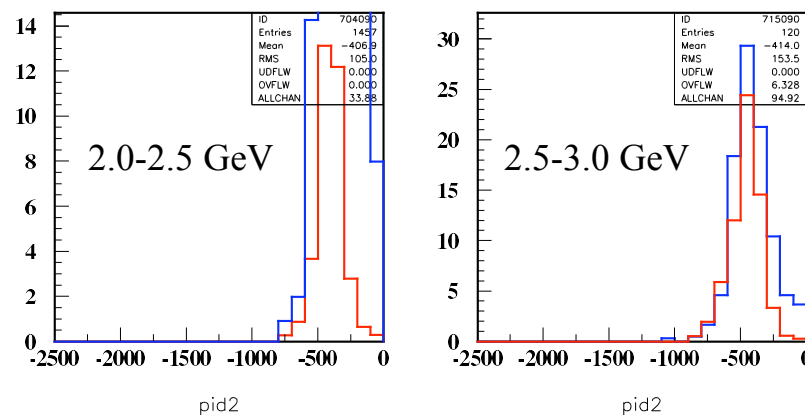
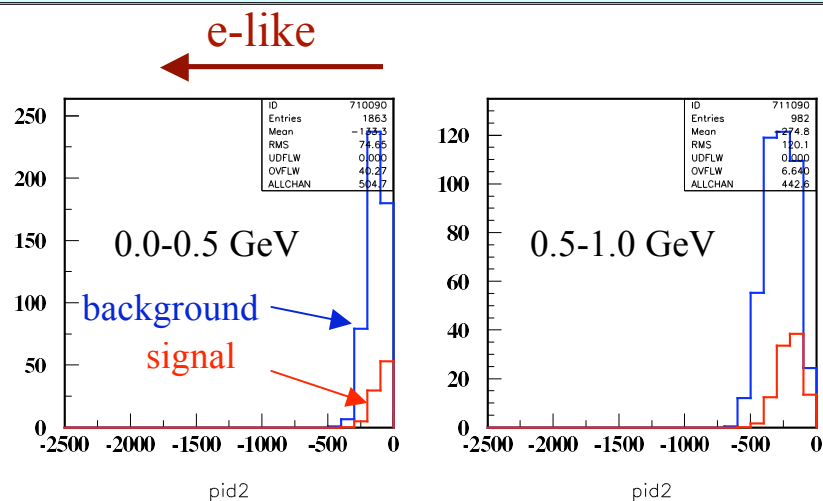
- 1) The signal events from QE scattering have larger  $\theta_e$  due to the Fermi motion of the target neutron in oxygen in the low energy region.
- 2) For lower energy background events, the minimum opening angle is larger. In those events accepted as signal,  $\pi^0$  decay is very asymmetric and the primary  $\gamma$  carries most of the energy.



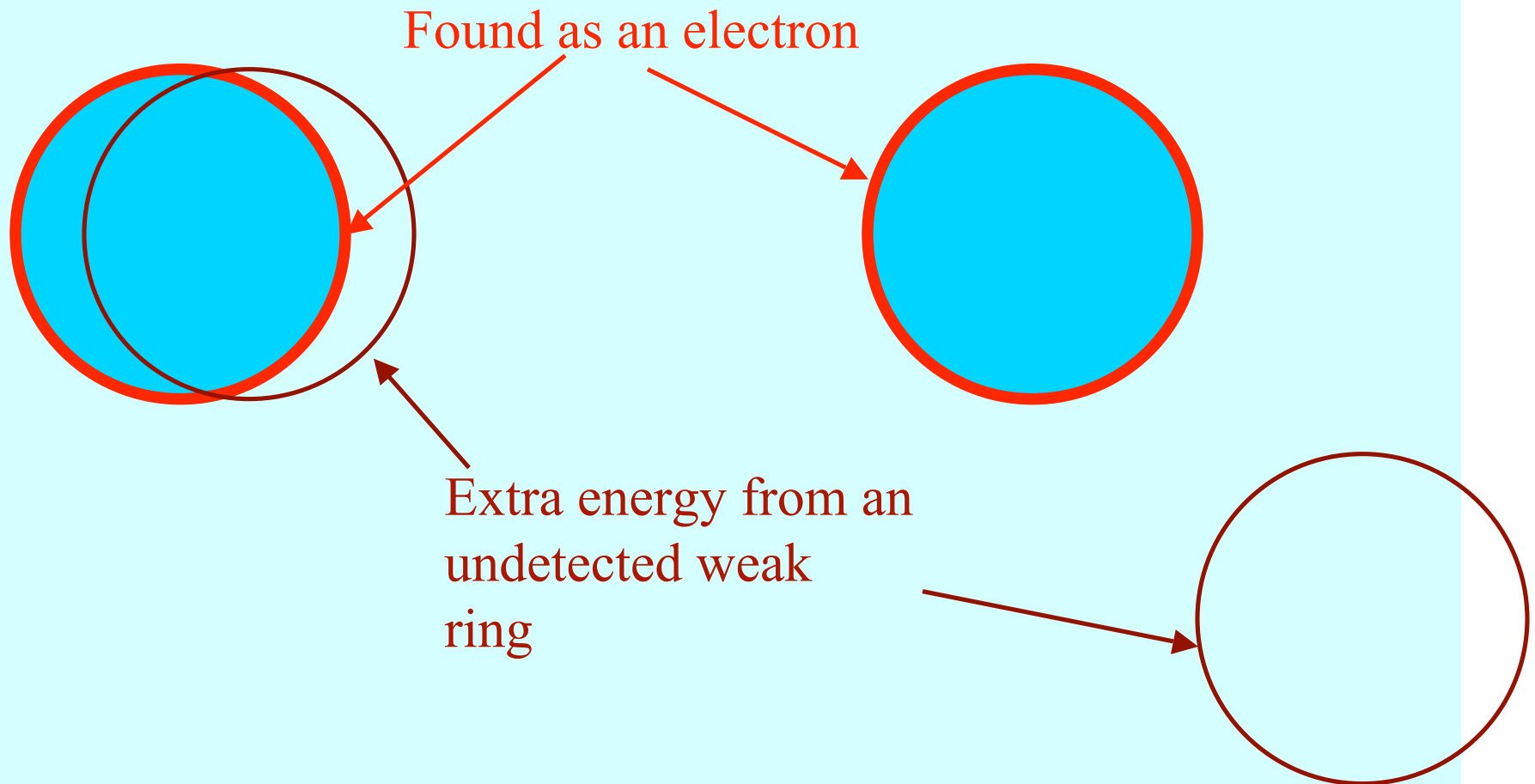
## e-likelihood



## e-likelihood

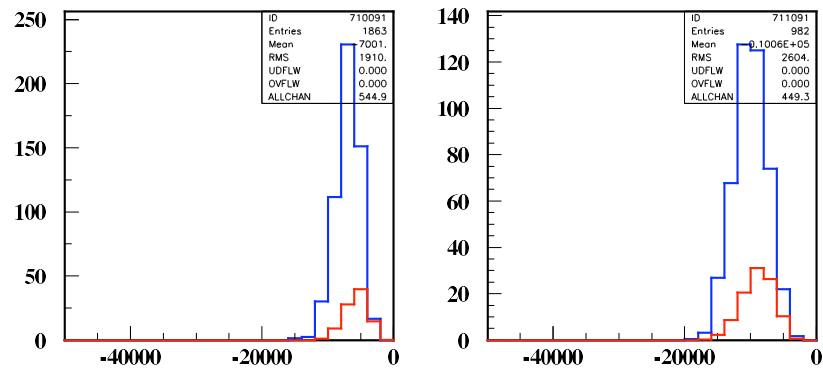


- $\pi^0$  likelihood tells whether an event is consistent with a single  $\pi^0$  event



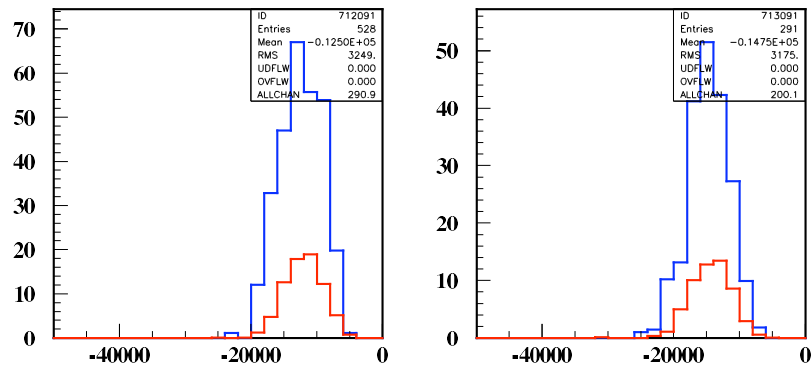


# $\pi^0$ likelihood



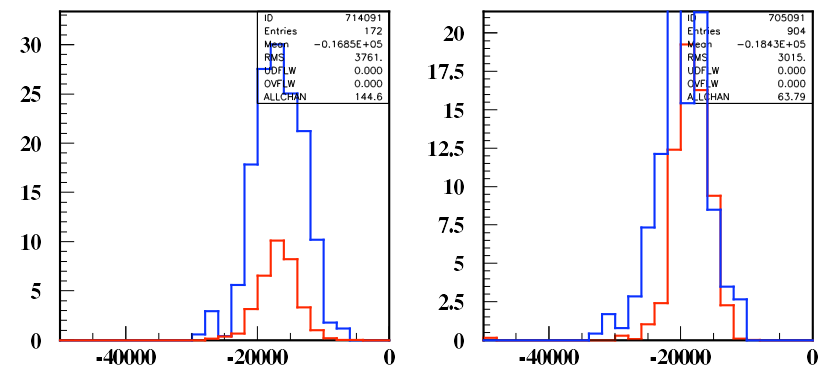
pi0like1

pi0like1



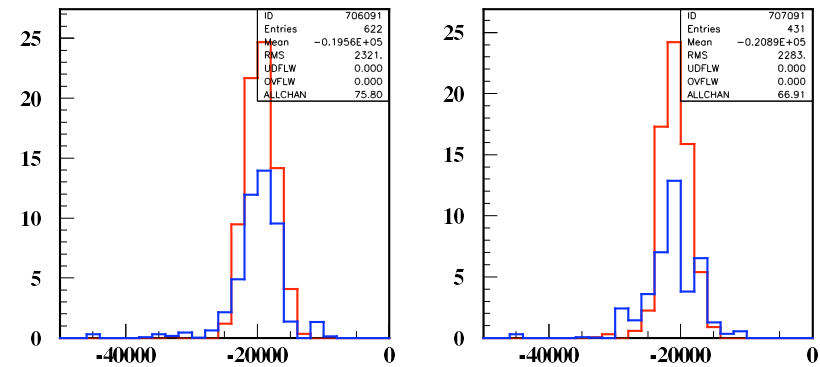
pi0like1

pi0like1



pi0like1

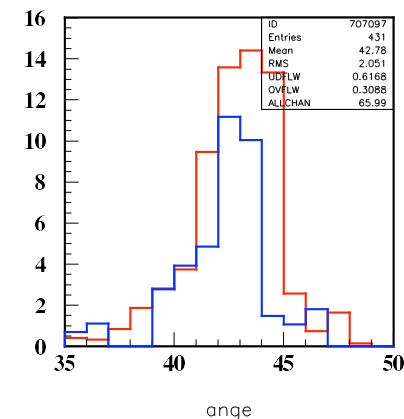
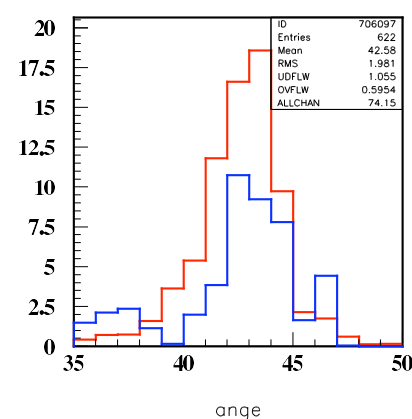
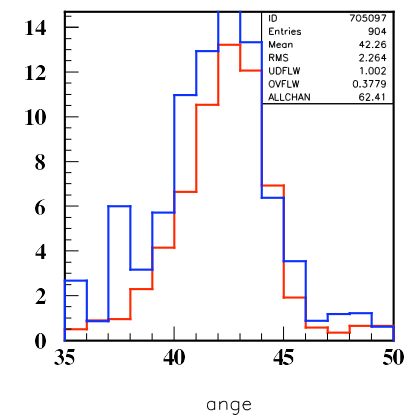
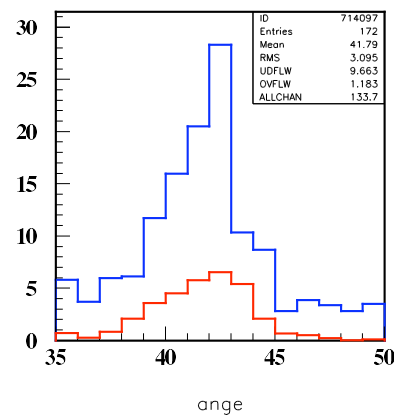
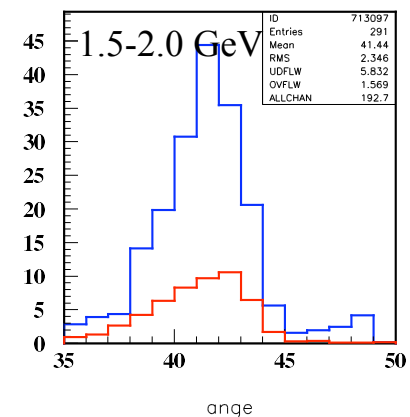
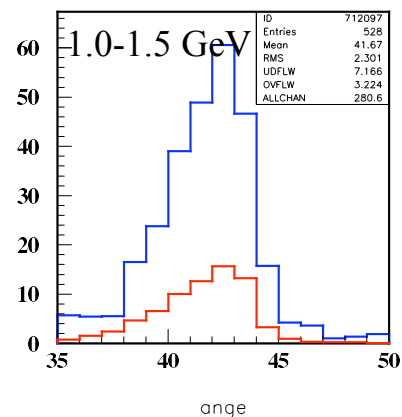
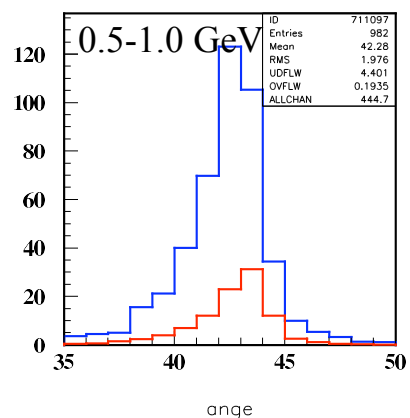
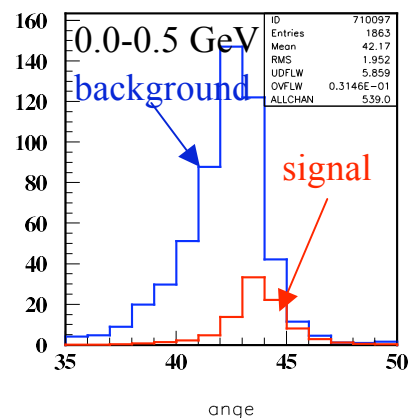
pi0like1



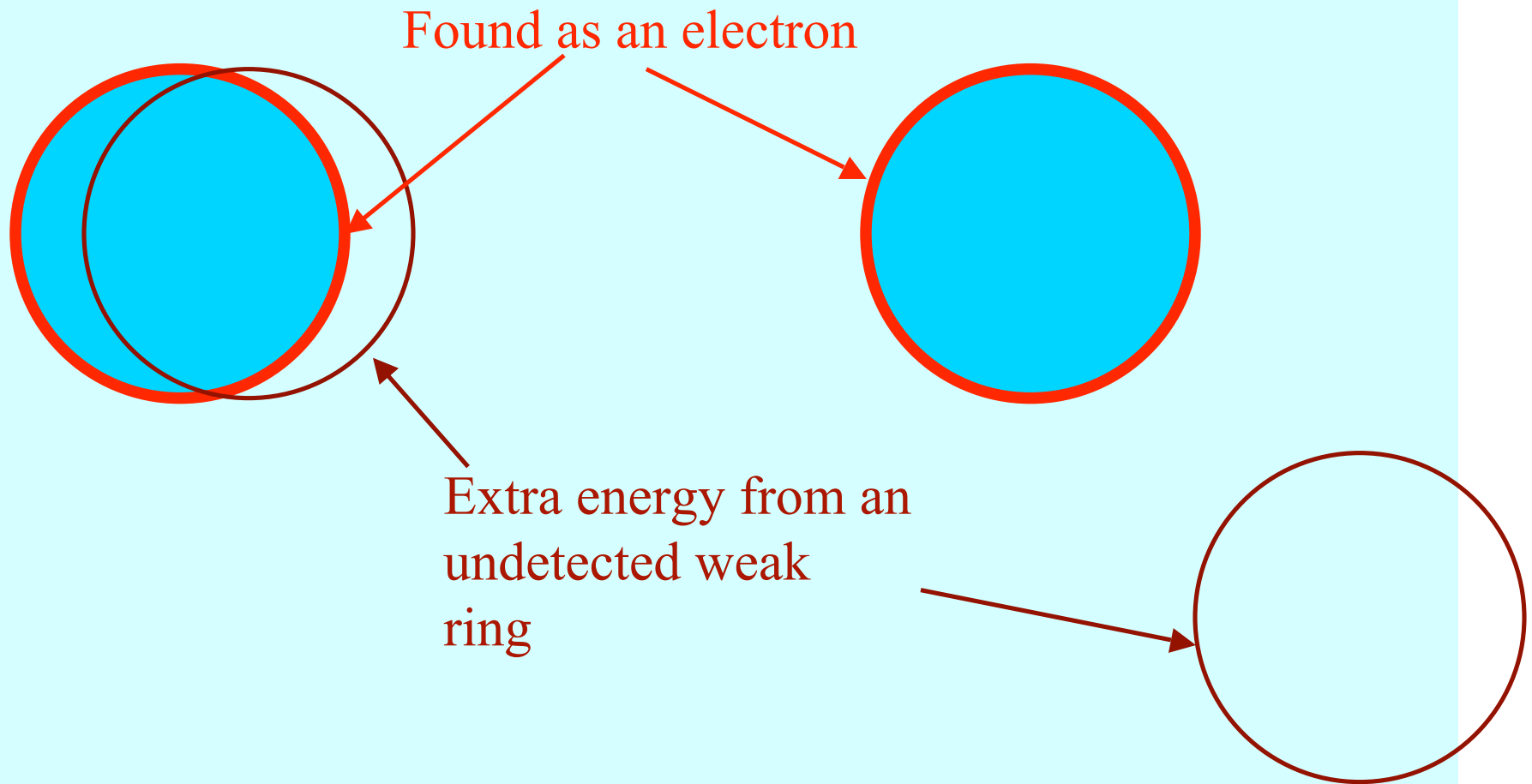
pi0like1

pi0like1

# Measure Cherenkov angle



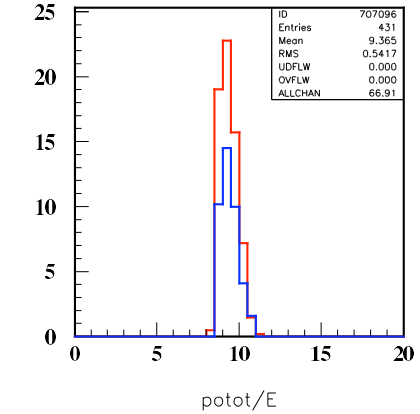
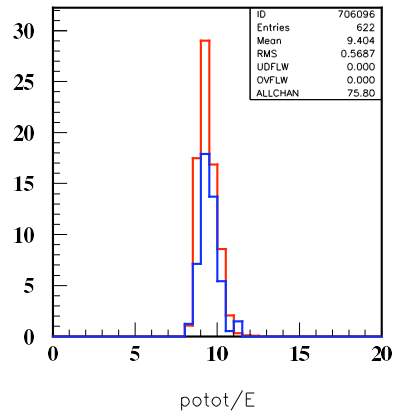
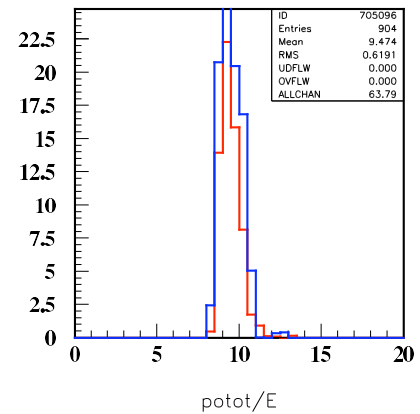
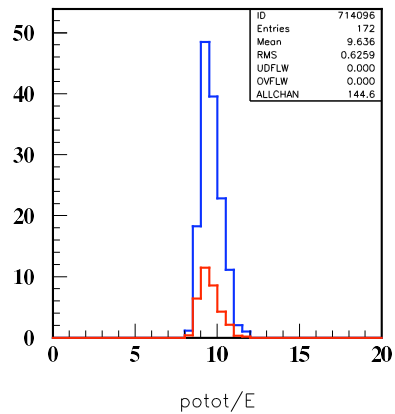
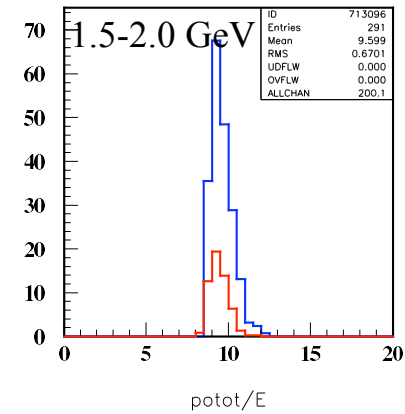
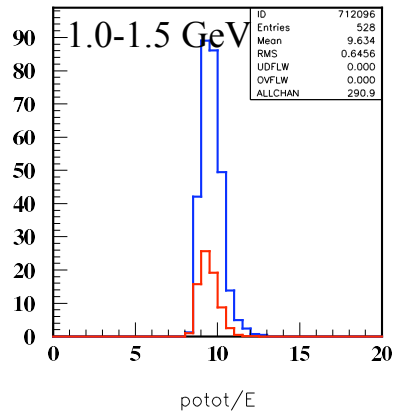
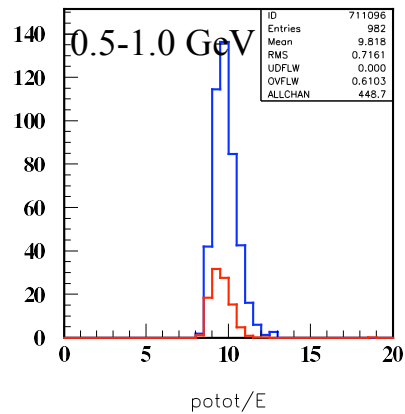
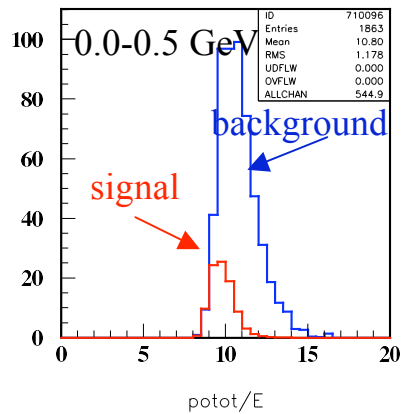
• Total charge/primary ring energy (poa)



# Useful variables

## Variables

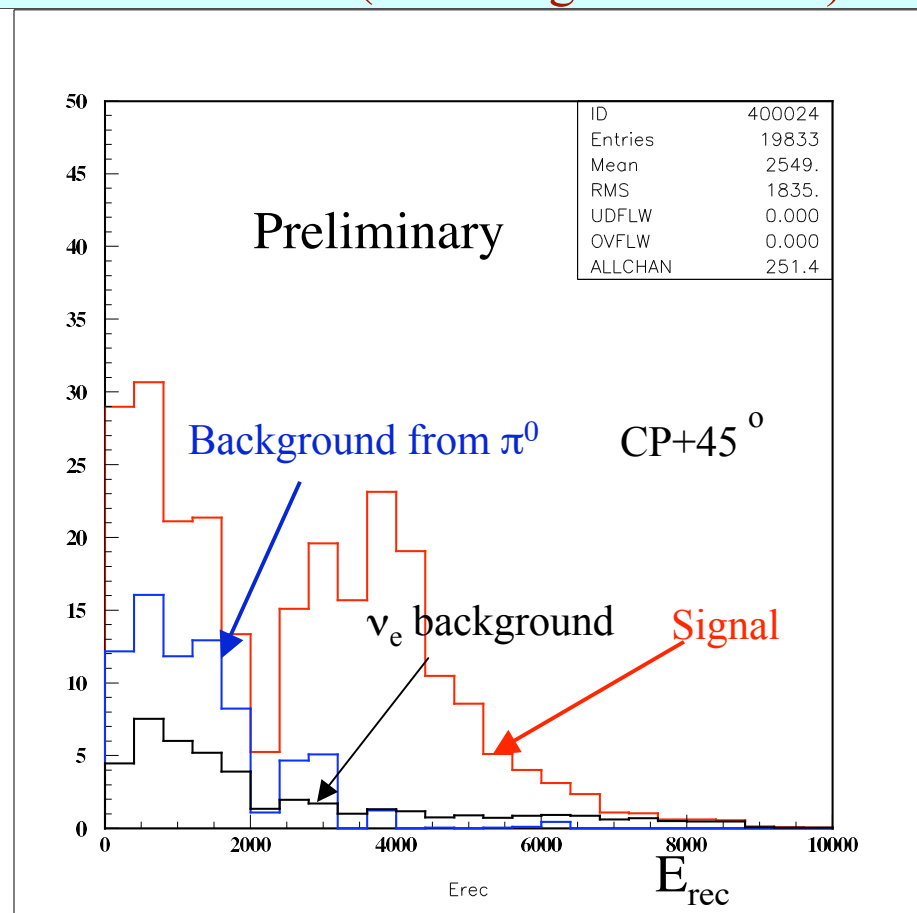
### • Total charge/primary ring energy (poa)



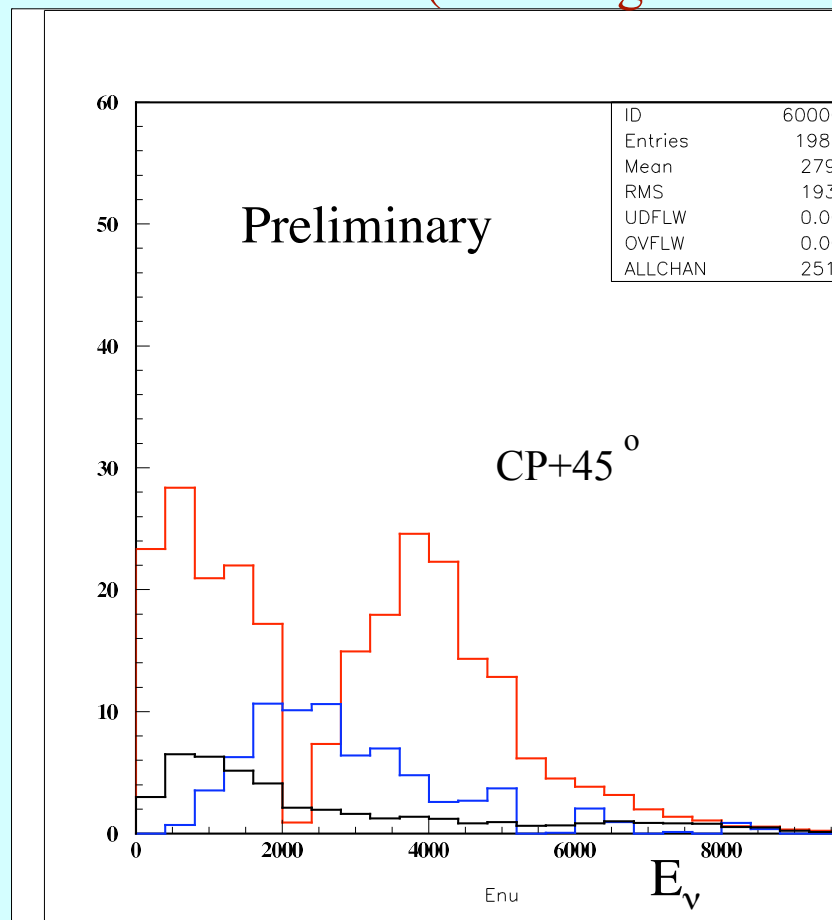
$\nu_e$  CC for signal ; all  $\nu_{\mu,\tau,e}$  NC ,  $\nu_e$  beam  
for bkg

$E_{\text{rec}}$  vs.  $E_{\nu}$

$\Delta$ likelihood cut (~40% signal retained)



$\Delta$ likelihood cut (~40% signal retained)



## Breakdown of interaction mode

Interaction mode	0 < E <sub>rec</sub> < 1 GeV		1 < E <sub>rec</sub> < 2 GeV		2 < E <sub>rec</sub> < 3 GeV		3 GeV < E <sub>rec</sub>	
	Sig	Bkg $\pi^0$	Sig	Bkg $\pi^0$	Sig	Bkg $\pi^0$	Sig	Bkg $\pi$
CC QE	82%	7%	69%	1%	28%	0%	50%	0%
1 $\pi^0$	3%	3%	5%	8%	11%	0%	8%	0%
1 $\pi^{+-}$	14%	7%	22%	1%	45%	0%	30%	0%
DIS	1%	0%	3%	1%	15%	18%	13%	0%
NC 1 $\pi^0$	0%	39%	0%	68%	0%	23%	0%	25%
1 $\pi^{+-}$	0%	29%	0%	3%	0%	0%	0%	0%
DIS	0%	11%	0%	9%	0%	59%	0%	75%
Others	0%	3%	1%	10%	3%	0%	0%	0%

## Some issues

## S/B and variables

Neutrino oscillation was on to define template distributions  
For analysis CPV=+45°

### Summary of BNL superbeam@UNO

Variable removed	Signal	Bkg	Effic	Signal	Bkg	Beam $\nu_e$	$S/B(\pi^0)$
None	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	321	112	57	2.86
$\Delta\pi^0lh$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	321	119	59	1.80
poa	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	316	126	56	2.51
$\pi^0-lh$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	303	116	52	2.61
e-lh	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	311	127	55	2.53
efrac	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	333	167	60	1.99
$\pi^0mass$	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	310	143	56	2.17
costh	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	322	146	57	2.21
ange	$\nu_e$ CC	$\nu_\mu$ all, $\nu_e, \nu_\tau$ NC	50%	321	119	55	2.70

## • Future prospect/plans

- All the variables used to define the likelihood seem useful : any more?

- Some variables associated with some pattern recognition such as  $\pi^0$ -likelihood and e-likelihood seem quite useful

More sophisticated pattern recognition algorithm is desirable and possible

- $\nu_\tau$  CC interactions in water need to be simulated

My first guess is that the contribution from these interactions is not large because  $\tau$  is mostly produced by DIS and in general there are many particles in the event (not a single ring event).

- This kind of analysis can give an insight to optimize neutrino beam spectrum

Studies on sensitivities to oscillation parameters should be done

Careful study of the source of background and the associated neutrino energy is needed

What granularity UNO needs to have?